## Noncoherent Digital Network Coding using M-ary CPFSK Modulation

Terry Ferrett <sup>1</sup> Matthew Valenti <sup>1</sup> Don Torrieri <sup>2</sup>

<sup>1</sup>West Virginia University

<sup>2</sup>U.S. Army Research Laboratory

November 9th, 2011



Introduction

System Model

Digital Network Coding Relay Receiver

Simulation Study

## Outline

### Introduction

#### System Model

Digital Network Coding Relay Receiver Matched Filter Output Distributions Coherent Reception Noncoherent Reception with CSI Noncoherent Reception without CSI DNC Soft-Demapper Network Coding Module

#### Simulation Study

Error-rate performance without an error-correcting code Error-rate performance with outer Turbo code Throughput comparison - DNC and LNC

#### Introduction

- Network coding is a high-throughput relaying technique which increases throughput over store-and-forward relaying.
- ▶ Network coding may be implemented at the *link* or *physical* layer.
  - Using *link-layer* network coding (LNC), received symbols are combined after performing demodulation and detection.
  - Using physical-layer network coding (PNC) the network coding is performed on the received sum of electromagnetic signals.
  - Digital network coding (DNC) is an instance of PNC in which the relay performs network coding during demodulation and detection.



Two-way Relay Channel

#### Introduction



- LNC requires three time slots for relaying.
- PNC only requires two.

- The primary contribution of this work is a soft-output *M*-ary CPFSK demodulator implementing DNC, and a throughput comparison against LNC. Previous work <sup>1</sup> considered binary CPFSK.
- CPFSK is an attractive modulation for applications in which coherent demodulation is not practical.
- Simulated error-rate performance is presented for modulation orders 2 and 4.
- ► Increasing the modulation order from 2 to 4 provides a higher data rate at the same spectral efficiency, with improved energy efficiency.

<sup>&</sup>lt;sup>1</sup>M. C. Valenti, D. Torrieri, and T. Ferrett, "Noncoherent physical-layer network coding with FSK Modulation: Relay Receiver Design Issues," *IEEE Trans. Commun.*, Sept. 2011.

## Outline

#### Introduction

### System Model

Digital Network Coding Relay Receiver Matched Filter Output Distributions Coherent Reception Noncoherent Reception with CSI Noncoherent Reception without CSI DNC Soft-Demapper Network Coding Module

### Simulation Study

Error-rate performance without an error-correcting code Error-rate performance with outer Turbo code Throughput comparison - DNC and LNC

#### System Model



#### Discrete-time system model under DNC operation

#### System Model

- Considering the MAC phase,
  - ► A length-*K* information sequence is generated at each end node.
  - When no channel code is applied,
    - The information sequence is divided into  $K/\mu$  sets of bits, mapped to M-ary CPFSK symbols, and transmitted to the relay, where  $\mu = \log_2 M$ .
  - When a channel code is applied,
    - $\blacktriangleright$  Identical Turbo channel codes are applied to the information sequences at rate is  $r_S.$
    - ► The codeword is divided into  $N_c/\mu$  sets of bits, mapped to *M*-ary CPFSK symbols, and transmitted to the relay, where  $\mu = \log_2 M$ .
- Under LNC, the end nodes transmit to the relay in separate time slots, while under DNC, the end nodes transmit simultaneously.
- All channels are modeled as flat-fading channels with independent gains for every signaling interval.
- The broadcast phase contains conventional point-to-point links, and is not analyzed in this work.

### Outline

#### Introduction

#### System Model

Digital Network Coding Relay Receiver Matched Filter Output Distributions Coherent Reception Noncoherent Reception with CSI Noncoherent Reception without CSI DNC Soft-Demapper Network Coding Module

#### Simulation Study

Error-rate performance without an error-correcting code Error-rate performance with outer Turbo code Throughput comparison - DNC and LNC

Digital Network Coding Relay Receiver

• Consider a single pair of symbols transmitted by the end nodes,  $q_1$  by  $\mathcal{N}_1$  and  $q_2$  by  $\mathcal{N}_2$ , where  $q_1, q_2 \in \{0, ..., M-1\}$ .

The vector model of the received signal at the relay is

$$\mathbf{y} = h_1 \mathbf{x}_1 + h_2 \mathbf{x}_2 + \mathbf{n}$$

- where  $h_1 = \alpha_1 e^{j\phi_1}$  and  $h_2 = \alpha_2 e^{j\phi_2}$  are complex-valued channel gains,  $\mathbf{x}_1$  and  $\mathbf{x}_2$  are the vector representations of  $q_1$  and  $q_2$ , and  $\mathbf{n}$  is circularly-symmetric complex Gaussian noise.
- We desire the expressions:

$$\Lambda(b_k) = \log\left[\frac{P(b_k = 1|\mathbf{y})}{P(b_k = 0|\mathbf{y})}\right], \ k \in \{0, ..., \mu - 1\}$$

• where  $\Lambda(b_k)$  is the log-likelihood ratio of the network coded bit  $b_k = b_{k,1} \oplus b_{k,2}$ , and  $b_{k,1}$  and  $b_{k,2}$ , are the k-th bit of each symbol.

Digital Network Coding Relay Receiver

- Computation of the log-likelihood ratio of the network coded bit at the relay is broken into three sub-computations,
  - Probability of the received signal conditioned on the symbols transmitted by the end nodes and channel information.
  - Probability of the received signal conditioned on the pair of bits mapped to the k<sup>th</sup> position of the received symbols.
  - Log-likelihood ratios of the network-coded bits.



The pdf of the received signal at the relay under coherent reception is

$$p(\mathbf{y}|\mathbf{m}_{i,j}) = \left(\frac{1}{\pi N_0}\right)^M \exp\left\{-\frac{1}{N_0}||\mathbf{y} - \mathbf{m}_{i,j}||^2\right\}$$

where the means are defined as

$$\mathbf{m}_{i,j} = h_1 \mathbf{x}_1 + h_2 \mathbf{x}_2 \ i, j \in \{0, ..., M-1\}$$

▶ and the subscripts i, j denote the transmission of symbol  $q_1 = i$  by  $\mathcal{N}_1$  and  $q_2 = j$  by  $\mathcal{N}_2$ .

 When the phases of the fading coefficients are unknown at the relay (partial CSI), the conditional pdf of the received signal becomes

$$p(\mathbf{y}|\mu_{i,j}) = \int_0^{2\pi} \int_0^{2\pi} p(\phi_i, \phi_j) p(\mathbf{y}|\mathbf{m}_{i,j}) d\phi_i d\phi_j$$

- Where  $\mu_{i,j} = |\mathbf{m}_{i,j}|$ , and the phases are uniformly distributed.
- When the end nodes transmit different tones,

$$p(\mathbf{y}|\mu_{i,j}) = \exp\left\{-\frac{\alpha_1^2 + \alpha_2^2}{N_0}\right\} I_0\left(\frac{2|y_i|\alpha_1}{N_0}\right) I_0\left(\frac{2|y_j|\alpha_2}{N_0}\right)$$

When the end nodes transmit the same tone,

$$p(\mathbf{y}|\mu_{i,j}) = \exp\left\{-\frac{\alpha^2}{N_0}\right\} I_0\left(\frac{2|y_i|\alpha}{N_0}\right)$$

 When the phases and fading amplitudes are not known at the relay (no CSI), and the sources transmit different tones, the conditional pdf of the received signal becomes

$$p(\mathbf{y}|\mathcal{E}_1, \ \mathcal{E}_2) = \int_0^{2\pi} \int_0^{2\pi} p(\alpha_1, \alpha_2) p(\mathbf{y}|\mu_{i,j}) d\alpha_1 d\alpha_2$$

• where  $\mathcal{E}_i$  is the symbol energy utilized at end node  $\mathcal{N}_i$ .

• And the joint pdf of the fading amplitudes  $\alpha_1, \alpha_2$  is

$$p(\alpha_1, \alpha_2) = \left(\frac{2\alpha_1}{\mathcal{E}_1} \exp\left\{-\frac{\alpha_1^2}{\mathcal{E}_1}\right\}\right) \left(\frac{2\alpha_2}{\mathcal{E}_2} \exp\left\{-\frac{\alpha_2^2}{\mathcal{E}_2}\right\}\right)$$

When the phases and fading amplitudes are not known at the relay, and the sources transmit the same tones, the conditional pdf of the received signal becomes

$$p(\mathbf{y}|\mathcal{E}_1, \ \mathcal{E}_2) = \int_0^{2\pi} p(\alpha) p(\mathbf{y}|\mu_{i,j}) d\alpha$$

 $\blacktriangleright$  And the joint pdf of the fading amplitude  $\alpha$  is

$$p(\alpha) = \frac{2\alpha}{\mathcal{E}_1 + \mathcal{E}_2} \exp\left\{-\frac{\alpha^2}{\mathcal{E}_1 + \mathcal{E}_2}\right\}$$

▶ When the sources transmit the same tone,

$$p(\mathbf{y}|\mathcal{E}_1, \mathcal{E}_2) = \left(\frac{1}{\mathcal{E}_1 + \mathcal{E}_2}\right) \left(\frac{1}{\mathcal{E}_1 + \mathcal{E}_2} + \frac{1}{N_0}\right)^{-1} \exp\left\{\frac{|y_i|^2(\mathcal{E}_1 + \mathcal{E}_2)}{N_0^2 + N_0(\mathcal{E}_1 + \mathcal{E}_2)}\right\}$$

When the sources transmit different tones,

$$p(\mathbf{y}|\mathcal{E}_1, \mathcal{E}_2) = \left[ \left( \frac{1}{\mathcal{E}_1 \mathcal{E}_2} \right) \left( \frac{1}{\mathcal{E}_1} + \frac{1}{N_o} \right) \left( \frac{1}{\mathcal{E}_2} + \frac{1}{N_0} \right) \right]^{-1} \\ \exp\left\{ \frac{|y_i|^2 \mathcal{E}_1}{N_o(N_0 + \mathcal{E}_1)} + \frac{|y_j|^2 \mathcal{E}_2}{N_0(N_0 + \mathcal{E}_2)} \right\}$$

- ► The soft demapper stage computes the probabilities of the received signal conditioned on the *k*<sup>th</sup> bit of the received symbols.
- The soft mapper takes two inputs,
  - 1. The set of received signal probabilities conditioned on all possible combinations of received symbols,

$$\{p(\mathbf{y}|q_1, q_2) : (q_1, q_2) \in \mathcal{D} \times \mathcal{D}\}$$

• where  $\mathcal{D}$  is the set of all possible CPFSK symbols.

2. The set of *a-priori* probabilities of the code bits transmitted by the sources, excluding the  $k^{th}$  bit

$$P[\mathbf{b}(q_1) \setminus b_k(q_1)] P[\mathbf{b}(q_2) \setminus b_k(q_2)]$$

▶ where the function b(q<sub>i</sub>) selects all code bits associated with symbol q<sub>i</sub>, and b<sub>k</sub>(q<sub>i</sub>) selects the k<sup>th</sup> bit associated symbol q<sub>i</sub>.

The output of the soft demapper is the set of received signal probabilities conditioned on the bits transmitted by the sources

$$\{p(\mathbf{y}|b_{k,1}, b_{k,2}) : (b_{k,1}, b_{k,2}) \in \mathcal{B} \times \mathcal{B}\}$$

- where  $\mathcal{B}$  the set of bits  $\{0,1\}$ .
- The pdf of the received signal conditioned on the k-th bit of the received symbols is

$$p(\mathbf{y}|b_{k,1} = m, b_{k,2} = n) = \sum_{\substack{q_1:b_k(q_1)=m\\q_2:b_k(q_2)=n}} p(\mathbf{y}|q_1, q_2) P[\mathbf{b}_1(q_1) \setminus b_k(q_1)] P[\mathbf{b}_2(q_2) \setminus b_k(q_2)]$$

Applying Bayes' rule to the output probabilities of the soft demapper,

$$P(b_{k,1}, b_{k,2} | \mathbf{y}) = \frac{p(\mathbf{y} | b_{k,1}, b_{k,2}) P(b_{k,1}) P(b_{k,2})}{p(\mathbf{y})}$$
$$(b_{k,1}, b_{k,2}) \in \mathcal{B} \times \mathcal{B}$$

- Denote all possible combinations of bits transmitted by the end nodes as
  - $\mathbb{E}_1 = \{ b_{k,1} = 0, b_{k,2} = 0 \}$   $\mathbb{E}_3 = \{ b_{k,1} = 0, b_{k,2} = 1 \}$   $\mathbb{E}_4 = \{ b_{k,1} = 1, b_{k,2} = 0 \}.$

The log-likelihood ratio of the network coded bit is then expressed as

$$\Lambda(b_k) = \log\left[\frac{P(\mathbf{y}|\mathbb{E}_3)P(\mathbb{E}_3) + P(\mathbf{y}|\mathbb{E}_4)P(\mathbb{E}_4)}{P(\mathbf{y}|\mathbb{E}_1)P(\mathbb{E}_1) + P(\mathbf{y}|\mathbb{E}_2)P(\mathbb{E}_2)}\right]$$

## Outline

#### Introduction

#### System Model

Digital Network Coding Relay Receiver Matched Filter Output Distributions Coherent Reception Noncoherent Reception with CSI Noncoherent Reception without CSI DNC Soft-Demapper Network Coding Module

### Simulation Study

Error-rate performance without an error-correcting code Error-rate performance with outer Turbo code Throughput comparison - DNC and LNC

- This section contains simulated error-rate performance at the relay, and end-to-end throughput performance at the end nodes.
- Error-rate performance is shown for detection of the network-coded bit at the relay
  - 1. For DNC and LNC.
  - 2. With and without Turbo channel coding.
  - 3. For varying levels of channel state information at the relay.
- In all simulation cases, the end nodes generate frames containing K=4500 information bits.
- The throughput of digital and link-layer network coding is compared.





Coded error-rate performance at the relay using Turbo code rate  $r_S = 4500/5000$ .

- The throughput of DNC and LNC is compared by selecting channel code rates which equalize error performance for both systems.
- The LNC system requires 2 time slots during the MAC phase to transmit 2K information bits to the relay, using length  $N_L = 5000$  code bits at each end node.
- ▶ The DNC system requires a single time slot during the MAC phase to transfer 2K information bits, using length N<sub>D</sub> code bits at each end node.
- ▶ Both systems use  $N_B = 5000$  channel code bits in the broadcast phase.
- The propotional throughput increase  $T_I$  of DNC over LNC is thus

$$T_I = \frac{2K/(N_D + N_B)}{2K/(2N_L + N_B)} = \frac{15000}{N_D + 5000}$$
(1)



Coded error-rate performance used to compare DNC and LNC throughput, assuming no channel state information is available.



Coded error-rate performance used to compare DNC and LNC throughput, assuming partial channel state information is available.

 The following table summarizes the throughput improvement of DNC over LNC.

Throughput Improvement - $T_P$		
CSI	M=2	M=4
None	30.4%	32.7%
Partial	37.1%	41.0%

Table: Throughput Improvement - DNC over LNC

## Outline

#### Introduction

#### System Model

#### Digital Network Coding Relay Receiver Matched Filter Output Distributions Coherent Reception Noncoherent Reception with CSI Noncoherent Reception without CSI DNC Soft-Demapper Network Coding Module

### Simulation Study

Error-rate performance without an error-correcting code Error-rate performance with outer Turbo code Throughput comparison - DNC and LNC

- This work presents a soft-output detector which implements DNC in the two-way relay channel.
- Simulated error-rate and throughput performance for a system which utilizes DNC and LNC, 2 and 4-ary CPFSK modulation, Turbo channel coding, and a fully-interleaved Rayleigh fading channel model.
- ► Increasing CPFSK modulation order from 2 to 4 improves DNC energy efficiency by 1 - 2 dB, and decreases the energy efficiency gap between DNC and LNC by 1 dB.
- ▶ DNC increases throughput over LNC by at least 30%, using 2-ary modulation and no channel state information. and by 41%, using 4-ary modulation and partial channel state information.
- Potential avenues for future work include design of techniques to synchronize the frames transmitted by the end nodes, and implementation in a software radio platform.

Conclusion

# Thank You!