# Closing the Gap to the Capacity of APSK: Constellation Shaping and Degree Distributions

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- 2 Constellation Shaping
- 3 LDPC Code Optimization
- Optimization Results
- **5** Conclusion

#### Introduction

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#### **DVB-S2 Standard**

Features of Digital Video Broadcasting - Satellite - Second Generation:



- LDPC Coding with two lengths and several rates.
- Amplitude-phase shift keying (APSK) up to M = 32.
- Variable and adaptive coding to support interactive services.

#### APSK vs. QAM for Nonlinear Channels

- Due to the use of TWTA, satellite channels are nonlinear.
- QAM constellations become highly distorted.



#### Contributions of This Paper



- Baseline system:
  - 32-APSK.
  - R = 3 bits/symbol.
  - AWGN channel.
- Performance improvements:
  - BICM-ID decoder: 0.3 dB gain.
  - Optimized LDPC code's degree distribution: 0.3 dB gain.
  - Constellation shaping: 0.5 dB gain.
  - Both code optimization and constellation shaping: 0.9 dB gain.

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## Constellation Shaping

The energy efficiency can be improved by transmitting lower-energy signals more frequently than higher-energy signals.

mutual information

3

2

-10



Figure : Uniform 32APSK vs. shaped 32APSK. Both constellations have the same energy.



10

 $E_s/N_o$  (dB)

3.1

uniform

0

shaping g=1

85

30

20

# Shaping Through Signal Set Partitioning

- Partition the constellation into **two** equal-sized sub-constellations.
- Use a **shaping bit** to select between the two sub-constellations.
  - The lower-energy sub-constellation is selected more frequently.
  - Requires the shaping bit to be encoded so that it is not uniform.
- The remaining bits select from among the M/2 symbols in the selected sub-constellation with equal probabability.



## Shaping Encoder

- Shaping encoder maps  $k_s$  bits to a  $n_s$  bit shaping codeword.
- Code is designed with the goal of having more zeros than ones.

• Example 
$$(k_s = 3, n_s = 5)$$
 code:

3	inp	but	t data	bits	5	out	put	cod	eword	bits
0	C	)	0		0	0	0	0	0	
0	C	)	1		0	0	0	0	1	
0	1		0		0	0	0	1	0	
0	1		1		0	0	1	0	0	
1	C	)	0		0	1	0	0	0	
1	C	)	1		1	0	0	0	0	
1	1		0		0	0	0	1	1	
1	1		1		1	0	1	0	0	

- $p_0 = 31/40$  is the probability of 0.
- $p_1 = 9/40$  is the probability of 1.

## Shaping Operation



- Here, the (5,3) shaping code is used as an example.
- The |P/S| block segments groups of 23 bits.
- Three bits delivered to the shaping encoder.

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# DVB-S2 standardized LDPC code

Key features of the DVB-S2 LDPC code:

- Variable rate:  $R_c = \frac{k_c}{n_c} = \{\frac{1}{4}, \frac{1}{3}, \frac{1}{2}, \frac{3}{5}, \frac{2}{3}, \frac{3}{4}, \frac{4}{5}, \frac{5}{6}, \frac{8}{9}, \frac{9}{10}\}.$
- Two lengths:  $n_c = 16,200$  (short) and  $n_c = 64,800$  (long).
- Systematic encoding.
- Last m<sub>c</sub> = n<sub>c</sub> k<sub>c</sub> columns of H are a dual diagonal submatrix, making it an extended irregular repeat accumulate (eIRA) code<sup>1</sup>.



- Constant row weight; i.e., *check regular*.
- Variable column weight, with D = 3 different values<sup>2</sup>.

<sup>&</sup>lt;sup>1</sup>M. Yang, W. E. Ryan, and Y. Li, "Design of efficiently encodable moderate-length high-rate irregular LDPC codes," *IEEE Trans. Commun.*, vol. 52, pp. 564–571, Apr. 2004.

 $<sup>^2</sup>$ Not including the last column, which has a weight of 1.

#### **EXIT** charts

The *convergence threshold* is the SNR value in which the bit error rate of an LDPC-coded system starts dropping sharply.

• The value of the threshold depends on the *degree distribution*.

EXIT charts<sup>3</sup>

- Predict the convergence threshold.
- Can be used to identify good candidate degree distributions.
- However, because it is just a prediction, the candidate codes still need to be simulated to determine which is best.



Figure : EXIT chart for the uniform system at  $\mathcal{E}_b/N_0 = 4.93$  dB.

<sup>&</sup>lt;sup>3</sup>S. ten Brink, G. Kramer, and A. Ashikhmin, "Design of low-density parity-check codes for modulation and detection," *IEEE Trans. Commun.*, vol. 52, pp. 670–678, Apr. 2004.

# EXIT Charts with Constellation Shaping

When shaping is used, the variable-node decoder (VND) accounts for the effects of shaping.



Figure : EXIT chart for the shaped system at  $\mathcal{E}_b/N_0 = 4.53$  dB.

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## **Optimization Procedure**

Common considerations:

- Spectral efficiency set to R = 3 bits/symbol.
- Systematic eIRA code structure.
- Row-weights from DVB-S2 maintained.
- Either D = 3 or D = 4 distinct column weights.

Optimization steps:

- Optimize LDPC code for uniform modulation.
- Shaping with off-the-shelf DVB-S2 code.
- Jointly optimize the LDPC code and the shaping.

$R_c$	$R_s$	$\mathcal{E}_b/N_0$ in dB (BER $= 10^{-5}$ )
3/5 (38880/64800)	1	standard: 5.42
5/5 (50000/04000)		optimized (D=4) 5.13
2/3 (43200/64800)	2/4	standard: 4.96
9/14 (41661/64806)	2/3	optimized (D=4) 4.51

# **BER Comparison**



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## Conclusion

- Performance of LDPC-coded APSK can be improved by over 1 dB through the combination of:
  - BICM-ID instead of just BICM.
  - Constellation shaping.
  - Optimization of LDPC degree distributions.
- An extra 0.1 dB gain is achieved by using D = 4 distinct variablenode degrees, instead of just D = 3.
- Drawbacks:
  - Per-iteration complexity increase.
  - Slight increase in the PAPR.
- See journal version for more detail:

M. C. Valenti and X. Xiang, "Constellation shaping for bit-interleaved LDPC coded APSK," *IEEE Trans. Commun.*, Oct. 2012.

# Thank You.

