

# Analysis of Algorithms - Quiz I (Solutions)

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## 1 Problems

1. Prove using induction:

$$\sum_{i=1}^n i^2 = \frac{n \cdot (n+1) \cdot (2n+1)}{6}$$

Proof: Base case  $P(1)$ :

$$\begin{aligned} LHS &= \sum_{i=1}^1 i^2 \\ &= 1^2 \\ &= 1 \\ RHS &= \frac{1 \cdot (1+1) \cdot (2(1)+1)}{6} \\ &= \frac{1 \cdot (2) \cdot (2+1)}{6} \\ &= \frac{2 \cdot 3}{6} \\ &= \frac{6}{6} \\ &= 1 \end{aligned}$$

Thus,  $LHS = RHS$  and  $P(1)$  is true.

Let us assume that  $P(k)$  is true, i.e.,

$$\sum_{i=1}^k i^2 = \frac{k \cdot (k+1) \cdot (2k+1)}{6}$$

We need to show that  $P(k+1)$  is true.

$$\begin{aligned} LHS &= \sum_{i=1}^{k+1} i^2 \\ &= 1^2 + 2^2 + 3^2 + \dots + k^2 + (k+1)^2 \end{aligned}$$

$$\begin{aligned}
&= \frac{k \cdot (k+1) \cdot (2k+1)}{6} + (k+1)^2 \text{ (using the inductive hypothesis)} \\
&= \frac{k \cdot (k+1) \cdot (2k+1) + 6(k+1)^2}{6} \\
&= \frac{(k+1) \cdot [k \cdot (2k+1) + 6(k+1)]}{6} \\
&= \frac{(k+1) \cdot [2k^2 + k + 6k + 6]}{6} \\
&= \frac{(k+1) \cdot [2k^2 + 7k + 6]}{6} \\
&= \frac{(k+1) \cdot (k+2) \cdot (2k+3)}{6} \\
RHS &= \frac{(k+1) \cdot ((k+1)+1) \cdot (2(k+1)+1)}{6} \\
&= \frac{(k+1) \cdot (k+2) \cdot (2k+2+1)}{6} \\
&= \frac{(k+1) \cdot (k+2) \cdot (2k+3)}{6}
\end{aligned}$$

*LHS=RHS. Thus, we have shown that  $P(k) \rightarrow P(k+1)$ ; applying the principle of mathematical induction, we conclude that the conjecture is true.  $\square$*

2. Consider the recurrence relation:

$$\begin{aligned}
T(n) &= 1, \text{ if } n = 1 \\
&= T(n-1) + 2^n, \text{ otherwise}
\end{aligned}$$

Show that  $T(n) = 2^{n+1} - 3$ .

Proof: *Base Case:*

$$T(1) = 1$$

*Using expansion:*

$$\begin{aligned}
T(n) &= T(n-1) + 2^n \\
&= T(n-2) + 2^{n-1} + 2^n \\
&= T(n-3) + 2^{n-2} + 2^{n-1} + 2^n \\
&\vdots \\
&= T(n-(n-1)) + 2^{n-(n-2)} + 2^{n-(n-3)} + \dots + 2^{n-1} + 2^n \\
&= T(1) + 2^2 + 2^3 + \dots + 2^{n-1} + 2^n \\
&= T(1) + \sum_{i=2}^n 2^i \\
&= 1 + \sum_{i=1}^n 2^i - 2^1
\end{aligned}$$

$$\begin{aligned}
&= \sum_{i=0}^n 2^i - 2 \\
&= \frac{2^{n+1} - 1}{2 - 1} - 2 \\
&= 2^{n+1} - 3
\end{aligned}$$

□

3. Show that  $\sum_{i=1}^n \log i = O(n \log n)$

Proof: We can obtain an upper bound on this series by bounding each term of the series, by the largest term ( $\log n$ ). From this, we have:

$$\begin{aligned}
\sum_{i=1}^n \log i &= \log 1 + \log 2 + \dots + \log n \\
&\leq \log n + \log n + \dots + \log n \\
&\leq n \cdot \log n
\end{aligned}$$

Then by definition of 'O',  $\sum_{i=1}^n \log i = O(n \log n)$ . □

4. Show that  $\sum_{i=1}^n \log i = \Omega(n \log n)$

Proof: Assume without loss of generality that  $n$  is even. Thus,

$$\begin{aligned}
\sum_{i=1}^n \log i &= \log 1 + \log 2 + \dots + \log n \\
&\geq \log\left(\frac{n}{2} + 1\right) + \log\left(\frac{n}{2} + 2\right) \dots + \log n \\
&\geq \log\left(\frac{n}{2}\right) + \log\left(\frac{n}{2}\right) + \dots + \log\left(\frac{n}{2}\right) \\
&= \frac{n}{2} \log\left(\frac{n}{2}\right) \\
&= \frac{n}{2} \log n - \frac{n}{2} \\
&\geq \frac{1}{10} n \log n \quad (\text{for } n \geq 4)
\end{aligned}$$

Note:

$$\begin{aligned}
5n \log n - 5n &\geq n \log n \\
5n \log n - n \log n &\geq 5n \\
4n \log n &\geq 5n \\
\log n &\geq \frac{5}{4} \\
n &\geq 2^{\frac{5}{4}}
\end{aligned}$$

We can thus choose  $n \geq 4$ , since  $2^2 = 4$ .

Then by definition of 'Ω',  $\sum_{i=1}^n \log i = \Omega(n \log n)$ . □

5. Let  $T$  be a proper binary tree of height  $h$  having  $n$  nodes. What is the minimum value for  $n$  as a function of  $h$ ? Justify your answer.

The minimum value for  $n$  as a function of  $h$  is:  $n = 2h + 1$

Observe that the minimum value for  $n$  in a proper binary tree of height  $h$  occurs when the tree is unbalanced. In this case, each internal node has exactly one child that is an external node, except for the internal node at level  $h - 1$ , which has 2 children which are external nodes. This means that each level of the tree contains exactly two nodes, except for level 0 which contains only 1 node (the root). It follows that a tree of height  $h$  would have  $2h + 1$  nodes.