Automata Theory - Quiz I (Solutions)

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1 Problems

1. Induction: Show that

$$\sum_{i=1}^{n} i^2 = \frac{n \cdot (n+1) \cdot (2 \cdot n + 1)}{6}.$$

Solution: BASIS: At n = 1, the LHS is $\sum_{i=1}^{1} i^2 = 1^2 = 1$. Likewise, the RHS is $\frac{1 \cdot 2 \cdot (2 \cdot 1 + 1)}{6} = 1$. Since the LHS and RHS are equal, the basis is proven.

INDUCTIVE STEP: Assume that for some $k \ge 1$,

$$\sum_{i=1}^{k} i^2 = \frac{k \cdot (k+1) \cdot (2 \cdot k + 1)}{6}.$$

Now observe that,

$$\begin{split} \sum_{i=1}^{k+1} i^2 &= \sum_{i=1}^k i^2 + (k+1)^2 \\ &= \frac{k \cdot (k+1) \cdot (2 \cdot k+1)}{6} + (k+1)^2, \text{ using the inductive hypothesis} \\ &= \frac{(k+1)}{6} \cdot [k \cdot (2 \cdot k+1) + 6 \cdot (k+1)] \\ &= \frac{(k+1)}{6} \cdot [2 \cdot k^2 + k + 6 \cdot k + 6] \\ &= \frac{(k+1)}{6} \cdot [2 \cdot k^2 + 7 \cdot k + 6] \\ &= \frac{(k+1)}{6} \cdot [2 \cdot k^2 + 4 \cdot k + 3 \cdot k + 6] \\ &= \frac{(k+1)}{6} \cdot [2 \cdot k(k+2) + 3 \cdot (k+2)] \\ &= \frac{(k+1) \cdot (k+2) \cdot (2 \cdot k+3)}{6} \end{split}$$

However, the last step of the derivation corresponds to substituting n = (k+1) in the RHS of the conjecture and thus we have the LHS = RHS at n = (k+1). Applying the principle of mathematical induction, we conclude that

$$\sum_{i=1}^{n} i^2 = \frac{n \cdot (n+1) \cdot (2 \cdot n + 1)}{6}.$$

2. Language Properties: Let Σ denote an alphabet and let $L \subseteq \Sigma^*$ denote some language. The Kleene closure of L, viz., L^* , is also a language over Σ . Recall that L^* is defined as:

$$L^* = \bigcup_{i=0}^{\infty} L^i.$$

Argue that $(L^*)^* = L^*$, for any language L.

Solution: First note that as per the definition of Kleene closure, we must have, $X \subseteq X^*$, for any language X. Accordingy, $L^* \subseteq (L^*)^*$. The non-trivial part lies in showing that the converse is true.

Lemma 1.1 $L^* \cdot L^* = L^*$.

Proof: Clearly, $L^* \subseteq L^* \cdot L^*$, since any string $w \in L^*$ can be written as $w = w \cdot \lambda$. Let $w \in L^* \cdot L^*$. We can express w as $w_1 \cdot w_2$, where $w_1, w_2 \in L^*$. But if w_1 and w_2 are in L^* , then so is their concatenation, as per the definition of Kleene closure! This implies that $w \in L^*$ and hence, $L^* \cdot L^* \subseteq L^*$.

It follows that $L^* \cdot L^* = L^*$. \Box

Theorem 1.1 $\forall i \geq 1, (L^*)^i = L^*.$

Proof: We prove the above theorem inductively.

BASIS: Since, $(L^*)^1 = L^*$, by definition, the basis is proven.

INDUCTIVE STEP: Assume that $(L^*)^k = L^*$, for some $k \ge 1$. Now observe that $(L^*)^{(k+1)} = (L^*)^k \cdot L^*$, as per the definition of the exponentiation operator as applied to languages. Using the inductive hypothesis, we can write that $(L^*)^{(k+1)} = L^* \cdot L^*$. But this immediately implies that $(L^*)^{(k+1)} = L^*$, as per Lemma (1.1). Using the principle of mathematical induction, we can conclude that $\forall i \ge 1$, $(L^*)^i = L^*$. \Box

As per the definition of Kleene closure,

$$(L^*)^* = \bigcup_{i=0}^{\infty} (L^*)^i$$

= $\bigcup_{i=0}^{\infty} L^*$, as per Theorem (1.1)
= L^* .

3. Regular Expression conversion: Write a regular expression for the language represented by the FSA in Figure (1).



Figure 1: FSA to Regular Expression



Figure 2: FSA to Regular Expression



Figure 3: FSA to Regular Expression

Solution: As described in class, we eliminate State *B* to get the two-state Generalized Transition Graph described by Figure (2).

Eliminating state C, we get Figure (3)

Using R to represent the expression $(ab + (b + aa) \cdot (ba)^* \cdot bb)$, we conclude that the regular expression for the language represented by the input FSA is R^* . \Box

4. **Regular Grammars:** Construct a right-linear grammar for the language $L((aab^*)^*)$.

Solution:

We first construct the NFA for the given language; it is not hard to see that Figure (4) represents $L((aab^*)^*)$.



Figure 4: FSA to Regular Grammar

I would like to emphasize the following points:

- (i) The L in the figure denotes λ .
- (ii) Technically, you should provide an inductive proof that establishes the equivalence between the language $L((aab^*)^*)$ and the NFA in Figure (4). While that is not feasible within the framework of a quiz, you need to convince yourself that the NFA is correct. I did work out the inductive proof though!

As per the algorithm described in class, we can construct the following right linear grammar $G = \langle V, T, S, P \rangle$, where,

- (a) $V = \{q_0, q_1, q_2\},\$
- (b) $T = \{a, b\},\$
- (c) $S = q_0$, and
- (d) The productions P are given by:

$$\begin{array}{rcl} q_0 & \rightarrow & a \ q_1 \mid \lambda \\ q_1 & \rightarrow & a \ q_2 \\ q_2 & \rightarrow & b \ q_2 \mid q_0 \mid \lambda \end{array}$$

5. State Minimization: Minimize the number of states in the DFA shown in Figure (5).



Figure 5: DFA State minimization

Solution: Without loss of generality, we can eliminate one of the two trap states F and G; we choose to eliminate G to get the DFA in Figure (6).

We make the following observations:

- (a) States A and F are distinguishable from states B, C, D and E on input λ .
- (b) States A and F are distinguishable on 0.
- (c) State C is distinguishable from states E and D on input 1; so is state B.
- (d) There are no more distinguishable pairs; accordingly, the equivalence classes are: $\{A\}, \{B, C\}, \{D, E\}$ and F.

Figure (7) represents the minimized DFA.



Figure 6: DFA State minimization



Figure 7: DFA State minimization