# **Proof Techniques**

## K. Subramani<sup>1</sup>

<sup>1</sup>Lane Department of Computer Science and Electrical Engineering West Virginia University

4, 9, 11 February 2016











# Outline







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On-Inductive Proof



Motivation Techniques Non-Inductive Proof Inductive Proof

# Some well-known theorems

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Theorems in Number Theory

() If x and y are two natural numbers and  $x \cdot y$  is odd,

Techniques Non-Inductive Proof Inductive Proof

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**()** If x and y are two natural numbers and  $x \cdot y$  is odd, then x and y are both odd.

Techniques Non-Inductive Proof Inductive Proof

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Non-Inductive Proof Inductive Proof

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Techniques Non-Inductive Proof Inductive Proof

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Theorems generally have the form  $P \to Q$  or  $(\forall x)[P(x) \to Q(x)]$ .

Motivation Techniques Non-Inductive Proof Inductive Proof

# Theorems and conjectures

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If an argument (contextual or universal) is not yet proven, it is called a conjecture.
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- (ii) Diagonalization.

# Axiomatic Number Theory

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## Motivating points

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We focus on Peano arithmetic that permits addition and multiplication

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 $(\mathcal{A}3.) \ (F[0] \land (\forall x) \ (F[x] \to F[x+1])) \to (\forall x) \ F[x].$ 

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Here are some first order definitions, which we will assume have been added to the list of axioms:

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### **Common Predicates**

The "rudimentary" theory discussed above is sufficient for number theory.

However, in order to make mathematics readable, we need additional predicates, such as even(x), odd(x), and so on.

Here are some first order definitions, which we will assume have been added to the list of axioms:

- We use the symbol 2 for 1 + 1, the symbol 3 for 1 + 1 + 1 and so on.
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$$(\forall x)[prime(x) \rightarrow ((1 < x) \land (\forall z) \ z \mid x \rightarrow ((z = 1) \lor (z = x)))].$$

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#### Note

Only works when the domain is finite.

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Subramani Proof Techniques

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Subramani Proof Techniques

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We will instead show that if x is not odd, then  $x^2$  is not odd.

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However, if x is not odd, then it must be even.

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However, if x is not odd, then it must be even. Likewise, with x^2.
We thus have to show that if x is even, then so is x^2.
But we have already shown that if two numbers are even so is their product!
```
# Contraposition (contd.)

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### Example

Subramani Proof Techniques

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The converse of a theorem may or may not be true.

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For instance, the argument, "If a > 5, then a > 2" is a theorem.

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What about the converse?

# Proof by Contradiction

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### Main Idea

Subramani Proof Techniques

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Observe that  $[(P \land Q') \rightarrow \mathsf{false}] \rightarrow (P \rightarrow Q)$  is a tautology.

Motivation Techniques <mark>Von-Inductive Proof</mark> Inductive Proof

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Observe that  $[(P \land Q') \rightarrow \mathsf{false}] \rightarrow (P \rightarrow Q)$  is a tautology.

It follows that if we show that  $(P \land Q')$  is unequivocally false, we have in fact, proven  $P \to Q$ .

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#### Definition

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#### Example

Show that  $\sqrt{2}$  is not rational.

Proof by Contradiction (contd.)

# Proof by Contradiction (contd.)

## Proof.

# Proof by Contradiction (contd.)

### Proof.

Let  $\sqrt{2}$  be rational.

# Proof by Contradiction (contd.)

### Proof.

# Proof by Contradiction (contd.)

### Proof.

# Proof by Contradiction (contd.)

### Proof.

$$\sqrt{2} \cdot q = p$$

# Proof by Contradiction (contd.)

### Proof.

$$\sqrt{2} \cdot q = p$$
  
 $\rightarrow 2 \cdot q^2 = p^2$ 

# Proof by Contradiction (contd.)

### Proof.

$$\begin{array}{rcl} \sqrt{2} \cdot q & = & p \\ \rightarrow 2 \cdot q^2 & = & p^2 \\ \rightarrow 2 & | & p^2 \end{array}$$

# Proof by Contradiction (contd.)

### Proof.

$$\begin{array}{rcl} \sqrt{2} \cdot q & = & p \\ \rightarrow 2 \cdot q^2 & = & p^2 \\ \rightarrow 2 & | & p^2 \\ \rightarrow 2 & | & p \end{array}$$

# Proof by Contradiction (contd.)

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$$\begin{array}{rcl}
\sqrt{2} \cdot q &=& p \\
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\rightarrow 2 & \mid & p^2 \\
\rightarrow 2 & \mid & p \\
\rightarrow p &=& 2 \cdot k, \text{ for some k}
\end{array}$$

# Proof by Contradiction (contd.)

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Proof by Contradiction (contd.)

# Proof by Contradiction (contd.)

### Example

- (i) Show that  $\sqrt{3}$  is not rational.
- (ii) Show that the product of two odd integers is odd.

## Proof by Contradiction (contd.)

### Example

- (i) Show that  $\sqrt{3}$  is not rational.
- (ii) Show that the product of two odd integers is odd. (Can you do it by direct proof?)

# Serendipity

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### Technique

Subramani Proof Techniques

# Serendipity

### Technique

Prayer!

# Serendipity

### Technique

Prayer! Good Luck.

# Serendipity

### Technique

Prayer! Good Luck. Coffee.

# Serendipity

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### Example

# Serendipity

### Technique

Prayer! Good Luck. Coffee.

### Example

Number of games in a tennis tournament.

# Induction

# Induction

### Motivation

Subramani Proof Techniques

# Induction

Motivation

Reaching arbitrary rungs of a ladder.

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Well-Ordering Principle

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Well-Ordering Principle

Every non-empty set of positive integers has a least element.

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## Induction

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#### Note

Induction can only be applied to a well-ordered domain, where the concept of "next" is unambiguous,

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How about all integers?

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Induction can only be applied to a well-ordered domain, where the concept of "next" is unambiguous, e.g, non-negative integers.

How about all integers?

How about non-negative reals?

How about non-negative rationals?

The first principle of Mathematical Induction

The first principle of Mathematical Induction

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Assume that the domain is the set of positive integers.

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- ( $\forall k$ )[P(k) is true  $\rightarrow P(k+1)$  is true]

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P(n) is **true**, for all positive integers n.

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### Observations

(i) Showing that P(1) is true is called the basis step.

## The first principle of Mathematical Induction

### Principle

Assume that the domain is the set of positive integers.

Let P(n) denote a conjecture (argument) that we need to show holds, for every  $n \ge 1$ . If

**1** P(1) is true.

```
(\forall k)[P(k) is true \rightarrow P(k+1) is true]
```

#### then,

P(n) is true, for all positive integers n.

### Observations

- (i) Showing that P(1) is true is called the basis step.
- (ii) Assuming that P(k) is **true**, in order to show that P(k+1) is **true** is called the inductive hypothesis.





### Example

Subramani Proof Techniques
# First Example

#### Example

Show that the sum of the first *n* integers is  $\frac{n \cdot (n+1)}{2}$ .

## First Example

#### Example

Show that the sum of the first *n* integers is  $\frac{n \cdot (n+1)}{2}$ . Formally,  $(\forall n) \left[\sum_{i=1}^{n} i = \frac{n \cdot (n+1)}{2}\right]$ .







# Formal Proof

### Proof.

Let P(n) denote the predicate  $\sum_{i=1}^{n} i = \frac{n \cdot (n+1)}{2}$ .

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$$LHS = \sum_{i=1}^{1} i$$

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$$LHS = \sum_{i=1}^{1} i$$
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$$RHS = \frac{1 \cdot (1+1)}{2}$$

## Formal Proof

#### Proof.

LHS = 
$$\sum_{i=1}^{1} i$$
  
= 1  
RHS =  $\frac{1 \cdot (1+1)}{2} = \frac{1 \cdot (2)}{2}$ 

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#### Proof.

LHS = 
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#### Proof.

LHS = 
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# Formal Proof

#### Proof.

Let P(n) denote the predicate  $\sum_{i=1}^{n} i = \frac{n \cdot (n+1)}{2}$ . We are required to prove the conjecture:  $(\forall n)P(n)$ . BASIS (P(1)):

LHS = 
$$\sum_{i=1}^{1} i$$
  
= 1  
RHS =  $\frac{1 \cdot (1+1)}{2} = \frac{1 \cdot (2)}{2} = \frac{2}{2} = 1$ 

Thus, LHS = RHS and P(1) is true.



### Proof.

Subramani

# Example

### Proof.

Let us assume that P(k) is true, i.e.,

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### Proof.

Let us assume that P(k) is true, i.e., assume that

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## Example

#### Proof.

Let us assume that P(k) is true, i.e., assume that

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We need to show that P(k+1) is true,

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Let us assume that P(k) is true, i.e., assume that

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### Example

#### Proof.

Let us assume that P(k) is true, i.e., assume that

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$$\sum_{i=1}^{k+1} i = \frac{(k+1) \cdot (k+2)}{2}$$

### Example

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Let us assume that P(k) is true, i.e., assume that

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# Proof (contd.)

### Proof.

# Proof (contd.)

### Proof.

Observe that,

LHS =

# Proof (contd.)

### Proof.

$$LHS = \sum_{i=1}^{k+1} i$$

# Proof (contd.)

### Proof.

LHS = 
$$\sum_{i=1}^{k+1} i$$
  
= 1+2+3+...+k+(k+1)

# Proof (contd.)

### Proof.

$$HS = \sum_{i=1}^{k+1} i$$
  
= 1+2+3+...+k+(k+1)  
= (1+2+3+...+k)+(k+1)

# Proof (contd.)

### Proof.

$$HS = \sum_{i=1}^{k+1} i$$
  
= 1+2+3+...+k+(k+1)  
= (1+2+3+...+k)+(k+1)  
=  $\frac{k \cdot (k+1)}{2}$ +(k+1), using the inductive hypothesis

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= (k+1) \cdot (\frac{k}{2}+1)

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= (k+1) \cdot (\frac{k}{2}+1)  
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= (1+2+3+...+k)+(k+1)  
=  $\frac{k \cdot (k+1)}{2}$ +(k+1), using the inductive hypothesis  
= (k+1) \cdot (\frac{k}{2}+1)  
= (k+1) \cdot (\frac{k+2}{2})  
=  $\frac{(k+1) \cdot (k+2)}{2}$
# Proof (contd.)

### Proof.

Observe that,

$$HS = \sum_{i=1}^{k+1} i$$
  
= 1+2+3+...+k+(k+1)  
= (1+2+3+...+k)+(k+1)  
=  $\frac{k \cdot (k+1)}{2}$ +(k+1), using the inductive hypothesis  
= (k+1) \cdot (\frac{k}{2}+1)  
= (k+1) \cdot (\frac{k+2}{2})  
=  $\frac{(k+1) \cdot (k+2)}{2}$   
= RHS.

# Proof (contd.)

### Proof.

Observe that,

$$HS = \sum_{i=1}^{k+1} i$$
  
= 1+2+3+...+k+(k+1)  
= (1+2+3+...+k)+(k+1)  
=  $\frac{k \cdot (k+1)}{2}$ +(k+1), using the inductive hypothesis  
= (k+1) \cdot (\frac{k}{2}+1)  
= (k+1) \cdot (\frac{k+2}{2})  
=  $\frac{(k+1) \cdot (k+2)}{2}$   
= RHS.

# Completing the proof

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### Final Steps

Subramani Proof Techniques

## Completing the proof

### **Final Steps**

Since, LHS=RHS, we have shown that  $P(k) \rightarrow P(k+1)$ .

## Completing the proof

#### Final Steps

Since, LHS=RHS, we have shown that  $P(k) \rightarrow P(k+1)$ .

Applying the first principle of mathematical induction, we conclude that the conjecture is **true**, i.e.,  $(\forall n)P(n)$  holds.





### Main Ideas

Subramani Proof Techniques



#### Main Ideas

(i) Mathematicize the conjecture.

# Central Theme

- (i) Mathematicize the conjecture.
- (ii) Prove the basis (usually P(1) and usually easy.)

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- (i) Mathematicize the conjecture.
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### Central Theme

- (i) Mathematicize the conjecture.
- (ii) Prove the basis (usually P(1) and usually easy.)
- (iii) Assume P(k).
- (iv) Show P(k + 1). (The hard part. Use mathematical manipulation.)
- (v) To show  $P(k) \rightarrow P(k+1)$ , you may use any of the proof techniques discussed, including exhaustive proof, direct proof, contraposition, contradiction, serendipity and induction!

## Another Induction Example

## Another Induction Example

### Example

Subramani Proof Techniques

### Another Induction Example

#### Example

Show that the sum of the squares of the first *n* integers is  $\frac{n \cdot (n+1) \cdot (2 \cdot n+1)}{6}$ ,

### Another Induction Example

#### Example

Show that the sum of the squares of the first *n* integers is  $\frac{n \cdot (n+1) \cdot (2 \cdot n+1)}{6}$ , i.e., show that  $\sum_{i=1}^{n} i^2 = \frac{n \cdot (n+1) \cdot (2 \cdot n+1)}{6}$ .

# Proving the Basis

# Proving the Basis

### Proof.

# Proving the Basis

### Proof.

# Proving the Basis

Proof.		
BASIS ( <i>P</i> (1)):		
	LHS =	

# Proving the Basis

### Proof.

$$LHS = \sum_{i=1}^{1} i^2$$

# Proving the Basis

### Proof.

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LHS = 
$$\sum_{i=1}^{1} i^2$$
  
= 1  
RHS =  $\frac{1 \cdot (1+1) \cdot (2 \cdot 1+1)}{6}$ 

# Proving the Basis

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LHS = 
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=  $\frac{6}{6}$   
= 1

## Proving the Basis

#### Proof.

BASIS (P(1)):

LHS = 
$$\sum_{i=1}^{1} i^{2}$$
  
= 1  
RHS =  $\frac{1 \cdot (1+1) \cdot (2 \cdot 1+1)}{6}$   
=  $\frac{1 \cdot (2) \cdot (3)}{6}$   
=  $\frac{6}{6}$   
= 1

Thus, LHS = RHS and P(1) is true.

Induction example (contd.)

# Induction example (contd.)

### Proof.

## Induction example (contd.)

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Let us assume that P(k) is true, i.e., assume that

## Induction example (contd.)

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Let us assume that P(k) is true, i.e., assume that

$$\sum_{i=1}^{k} i^2 =$$

## Induction example (contd.)

#### Proof.

Let us assume that P(k) is true, i.e., assume that

$$\sum_{i=1}^{k} i^2 = \frac{k \cdot (k+1) \cdot (2 \cdot k + 1)}{6}.$$

## Induction example (contd.)

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We need to show that P(k+1) is true,
## Induction example (contd.)

#### Proof.

Let us assume that P(k) is true, i.e., assume that

$$\sum_{i=1}^k i^2 = \frac{k \cdot (k+1) \cdot (2 \cdot k+1)}{6}.$$

We need to show that P(k+1) is true, i.e., we need to show that  $\sum_{i=1}^{k+1} i^2 =$ 

## Induction example (contd.)

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Let us assume that P(k) is true, i.e., assume that

$$\sum_{i=1}^{k} i^2 = \frac{k \cdot (k+1) \cdot (2 \cdot k + 1)}{6}.$$

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#### Proof.

Let us assume that P(k) is true, i.e., assume that

$$\sum_{i=1}^{k} i^2 = \frac{k \cdot (k+1) \cdot (2 \cdot k + 1)}{6}.$$

We need to show that P(k+1) is true, i.e., we need to show that  $\sum_{i=1}^{k+1} i^2 = \frac{(k+1) \cdot (k+2) \cdot (2 \cdot (k+1)+1)}{6}.$ 

LHS =

## Induction example (contd.)

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Let us assume that P(k) is true, i.e., assume that

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LHS = 
$$\sum_{i=1}^{k+1} i^2$$

## Induction example (contd.)

#### Proof.

Let us assume that P(k) is true, i.e., assume that

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LHS = 
$$\sum_{i=1}^{k+1} i^2$$
  
=  $1^2 + 2^2 + 3^2 + \dots + k^2 + (k+1)^2$ 

# Induction example (contd.)

#### Proof.

Let us assume that P(k) is true, i.e., assume that

$$\sum_{i=1}^{k} i^2 = \frac{k \cdot (k+1) \cdot (2 \cdot k + 1)}{6}.$$

LHS = 
$$\sum_{i=1}^{k+1} i^2$$
  
=  $1^2 + 2^2 + 3^2 + \ldots + k^2 + (k+1)^2$   
=  $(1^2 + 2^2 + 3^2 + \ldots + k^2) + (k+1)^2$ 

## Induction example (contd.)

#### Proof.

Let us assume that P(k) is true, i.e., assume that

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=  $(1^2 + 2^2 + 3^2 + \dots + k^2) + (k+1)^2$ 

Induction proof (contd.)

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Subramani Proof Techniques

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$$= \frac{(k+1) \cdot (k+2) \cdot (2 \cdot (k+1) + 1)}{6}$$
  
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Since, LHS = RHS, we have shown that  $P(k) \rightarrow P(k+1)$ .

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Since, LHS = RHS, we have shown that  $P(k) \rightarrow P(k+1)$ .

Applying the first principle of mathematical induction, we conclude that the conjecture is true.  $\hfill \Box$ 

# Induction Example

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=  $2 \cdot 1 - 1$ 

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#### Proof.

LHS = 
$$\sum_{i=1}^{1} (2 \cdot i - 1)$$
  
=  $2 \cdot 1 - 1$   
= 1

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#### Proof.

$$LHS = \sum_{i=1}^{1} (2 \cdot i - 1)^{i}$$
$$= 2 \cdot 1 - 1$$
$$= 1$$
$$RHS = 1^{2}$$
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BASIS (P(1)):

LHS = 
$$\sum_{i=1}^{1} (2 \cdot i - 1)^{i}$$
  
=  $2 \cdot 1 - 1$   
=  $1$   
RHS =  $1^{2}$   
=  $1$ 

Thus, LHS = RHS and P(1) is true.





### Proof.

Subramani Proof Techniques



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Let us assume that P(k) is true, i.e., assume that



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Subramani Proof Techniques

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$$LHS = \sum_{i=1}^{k+1} (2 \cdot i - 1)$$
  
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= (1+3+5+...(2 \cdot k - 1)) + (2 \cdot k + 1)  
= k<sup>2</sup> + (2 \cdot k + 1), using the inductive hypothesis

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Since LHS = RHS, we have shown that  $P(k) \rightarrow P(k+1)$ .

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Since LHS = RHS, we have shown that  $P(k) \rightarrow P(k+1)$ . Applying the first principle of mathematical induction, we conclude that the conjecture is true.

# One Final Example

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Subramani Proof Techniques

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= 1 - 1

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#### Proof.

BASIS (P(0)):

$$LHS = 7^{0} - 5^{0} \\ = 1 - 1 \\ = 0$$

Since the LHS is even, we have proven the basis P(0).


# Proof (contd.)

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 $7^{k+1} - 5^{k+1} =$ 

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 $7^{k+1} - 5^{k+1} = 7 \cdot 7^k - 5 \cdot 5^k$ 

# Proof (contd.)

#### Proof.

$$7^{k+1} - 5^{k+1} = 7 \cdot 7^k - 5 \cdot 5^k$$
  
= 7 \cdot (2 \cdot m + 5^k) - 5 \cdot 5^k, using the inductive hypothesis

# Proof (contd.)

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$$7^{k+1} - 5^{k+1} = 7 \cdot 7^k - 5 \cdot 5^k$$
  
=  $7 \cdot (2 \cdot m + 5^k) - 5 \cdot 5^k$ , using the inductive hypothesis  
=  $14 \cdot m + 7 \cdot 5^k - 5 \cdot 5^k$ 

# Proof (contd.)

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= 14 \cdot m + 5^k \cdot (7 - 5)

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=  $2 \cdot (7 \cdot m + 5^k)$   
= some even number!

# Proof (contd.)

#### Proof.

Let us assume that P(k) is true, i.e., assume that  $(7^k - 5^k)$  is divisible by 2 for some k. It follows that  $(7^k - 5^k) = 2 \cdot m$ , for some integer m. We need to show that P(k + 1) is true, i.e.,  $(7^{k+1} - 5^{k+1})$  is divisible by 2. Observe that,

$$7^{k+1} - 5^{k+1} = 7 \cdot 7^k - 5 \cdot 5^k$$
  
= 7 \cdot (2 \cdot m + 5^k) - 5 \cdot 5^k, using the inductive hypothesis  
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We have thus shown that  $P(k) \rightarrow P(k+1)$ .

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=  $2 \cdot (7 \cdot m + 5^k)$   
= some even number!

We have thus shown that  $P(k) \rightarrow P(k+1)$ . Applying the first principle of mathematical induction, we conclude that the conjecture is true.

# Second Principle of Induction

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Assume that the domain is the set of positive integers.

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(ii) 
$$(\forall r)(1 \le r \le k)[P(r) \text{ is true}] \rightarrow P(k+1) \text{ is true}]$$

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### Note

## Second Principle of Induction

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### Note

Also called Strong Induction. Is necessary, when the first principle does not help us.

Example of Strong Induction

# Example of Strong Induction

## Example

Subramani Proof Techniques

## Example of Strong Induction

### Example

Show that every number greater than or equal to 8 can be expressed in the form  $5 \cdot a + 3 \cdot b$ , for suitably chosen *a* and *b*.

## Example of Strong Induction

### Example

Show that every number greater than or equal to 8 can be expressed in the form  $5 \cdot a + 3 \cdot b$ , for suitably chosen *a* and *b*.

### Proof.

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- (viii) Applying the second principle of mathematical induction, we conclude that the conjecture is true, for all  $n \ge 8$ .



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Subramani Proof Techniques

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- **()** In either case, it follows that (k + 1) can be expressed as a product of primes.
- Applying the second principle of mathematical induction, we conclude that the conjecture is true for all elements in the domain.