

Recursion and Recurrence Relations

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2 Solving Recurrences

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- 4 Formula (including Master Theorem)
 - The Master Method

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 - The Master Method
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Recursive Definitions

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Note

Strong connection between induction and recursion.

Types of objects defined recursively

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Recursive Objects

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- (i) Sequences.

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- (i) Sequences.
- (ii) Sets.

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- (i) Sequences.
- (ii) Sets.
- (iii) Operations.

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- (i) Sequences.
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- (iv) Algorithms.

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Write down the first 5 elements of the following recursively defined sequence:

$$T(1) = 1$$

$$T(n) = T(n-1) + 3, \quad n \geq 2.$$

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Enumerate the first 5 elements of the Fibonacci sequence.

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Example

Enumerate the first 5 elements of the Fibonacci sequence.

Show that

$$F(n+4) = 3 \cdot F(n+2) - F(n), \text{ for all } n \geq 1$$

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Observe that,

$$F(k + 1 + 4) = F(k + 5)$$

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Observe that,

$$\begin{aligned} F(k + 1 + 4) &= F(k + 5) \\ &= F(k + 4) + F(k + 3), \quad \text{by definition} \end{aligned}$$

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Applying the second principle of mathematical induction, we conclude that the conjecture is true for all $n \geq 1$.



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Recursively Defined Sets

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Define the set of ancestors of John.

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- (i) John's parents are his ancestors.

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Define the set of all possible word combinations using small-case letters from the English alphabet.

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- (iii) If x and y are words, then so is $x \cdot y$.

Recursively Defined Sets (contd.)

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Define the set of binary palindromes.

- (i) The empty string λ is a palindrome.
- (ii) 0 and 1 are palindromes.

Recursively Defined Sets (contd.)

Example

Define the set of binary palindromes.

- (i) The empty string λ is a palindrome.
- (ii) 0 and 1 are palindromes.
- (iii) If x is a palindrome, then so are $0 \cdot x \cdot 0$ and $1 \cdot x \cdot 1$.

Recursively Defined Operations

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Define exponentiation in terms of multiplication.

$$\begin{aligned}a^0 &= 1 \\ a^m &= a \cdot (a^{m-1}), \quad m \geq 1.\end{aligned}$$

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Define multiplication in terms of addition.

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Example

Define multiplication in terms of addition.

$$x \cdot 0 = 0$$

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Example

Define exponentiation in terms of multiplication.

$$\begin{aligned}a^0 &= 1 \\ a^m &= a \cdot (a^{m-1}), \quad m \geq 1.\end{aligned}$$

Example

Define multiplication in terms of addition.

$$\begin{aligned}x \cdot 0 &= 0 \\ x \cdot y &= x + x \cdot (y - 1), \quad y \geq 1.\end{aligned}$$

Recursively Defined Algorithms

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Function MAX(a, b)

```
1: if ( $a \geq b$ ) then  
2:   return( $a$ ).  
3: else  
4:   return( $b$ ).  
5: end if
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The Find-Max Algorithm

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The Find-Max Algorithm

Function FIND-MAX(\mathbf{A}, n)

```
1: if ( $n = 1$ ) then  
2:   return( $A[1]$ ).  
3: else  
4:   return(MAX( $A[n]$ , FIND-MAX( $\mathbf{A}, n - 1$ ))).  
5: end if
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2:   return( $A[1]$ ).  
3: else  
4:   return(MAX( $A[n]$ , FIND-MAX( $\mathbf{A}, n - 1$ ))).  
5: end if
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Can you prove the correctness of the above algorithm?

Solving recurrences

Solving recurrences

Problem definition

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Given a recurrence relation describing a function, say $T(n)$,

Solving recurrences

Problem definition

Given a recurrence relation describing a function, say $T(n)$, we want to find a closed form expression which exactly describes $T(n)$,

Solving recurrences

Problem definition

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The methods

- (i) Expand-Guess-Verify (EGV).

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- (ii) Formula (including Master Theorem).

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The methods

- (i) Expand-Guess-Verify (EGV).
- (ii) Formula (including Master Theorem).
- (iii) Recursion Tree.

Expand-Guess-Verify

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Example

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Consider the recurrence:

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$$S(1) = 1$$

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Applying the first principle of mathematical induction, we conclude that $S(n) = n$.

EGV (contd.)

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INDUCTIVE STEP: Assume that $S(k) = 2^k$. We need to show that $S(k+1) = 2^{k+1}$. Observe that,

$$\begin{aligned} S(k+1) &= 2 \cdot S(k), \quad \text{by definition} \\ &= 2 \cdot 2^k, \quad \text{by inductive hypothesis} \\ &= 2^{k+1}. \end{aligned}$$

EGV (contd.)

Example

Consider the recurrence:

$$\begin{aligned} S(1) &= 2 \\ S(n) &= 2 \cdot S(n-1), \quad n \geq 2. \end{aligned}$$

- (i) Expand: $S(1) = 2, S(2) = 2 \cdot S(1) = 4, S(3) = 2 \cdot S(2) = 8, \dots$
- (ii) Guess: $S(n) = 2^n$.
- (iii) Verify: Using Induction!
BASIS: $n = 1$

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Since LHS=RHS, the basis is proven.

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Applying the first principle of mathematical induction, we conclude that $S(n) = 2^n$.

EGV (contd.)

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Solve the recurrence:

EGV (contd.)

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Solve the recurrence:

$$T(1) = 1$$

EGV (contd.)

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Solve the recurrence:

$$T(1) = 1$$

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EGV (contd.)

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- (iii) Verify: Somebody from class!

Formula approach

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A general linear recurrence has the form:

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The recurrence is called homogeneous, if $g(n) = 0$, for all n .

Linear first-order recurrence with constant coefficients

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Formula for Linear first-order recurrence

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Second Order homogeneous Linear Recurrence with constant coefficients

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Solution is: $r_1 = 1$, $r_2 = 5$.

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We get $p = 3$ and $q = 2$.

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Solution:

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Solution is: $r_1 = 1$, $r_2 = 5$.

(ii) Solve the equations:

$$p + q = T(1) = 5$$

$$p \cdot 1 + q \cdot 5 = T(2) = 13$$

We get $p = 3$ and $q = 2$.

(iii) Accordingly, the solution is $T(n) = 3 \cdot 1^{n-1} + 2 \cdot 5^{n-1} =$

Examples of second order recurrences

Example

Solve the recurrence relation

$$T(1) = 5$$

$$T(2) = 13$$

$$T(n) = 6 \cdot T(n-1) - 5 \cdot T(n-2), \quad n \geq 3.$$

Solution:

(i) $c_1 = 6$, $c_2 = -5$. Characteristic equation: $t^2 - 6 \cdot t + 5 = 0$.

Solution is: $r_1 = 1$, $r_2 = 5$.

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One More Example

One More Example

Example

One More Example

Example

Solve the recurrence relation:

One More Example

Example

Solve the recurrence relation:

$$S(1) = 1$$

One More Example

Example

Solve the recurrence relation:

$$\begin{aligned}S(1) &= 1 \\S(2) &= 12\end{aligned}$$

One More Example

Example

Solve the recurrence relation:

$$S(1) = 1$$

$$S(2) = 12$$

$$S(n) = 8 \cdot S(n-1) - 16 \cdot S(n-2), \quad n \geq 3$$

One More Example

Example

Solve the recurrence relation:

$$S(1) = 1$$

$$S(2) = 12$$

$$S(n) = 8 \cdot S(n-1) - 16 \cdot S(n-2), \quad n \geq 3$$

Solution:

One More Example

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One More Example

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(i) $c_1 = 8, c_2 = -16.$

One More Example

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$$S(n) = 8 \cdot S(n-1) - 16 \cdot S(n-2), \quad n \geq 3$$

Solution:

(i) $c_1 = 8$, $c_2 = -16$. Characteristic equation: $t^2 - 8t + 16 = 0$.

One More Example

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Solution:

- (i) $c_1 = 8, c_2 = -16$. Characteristic equation: $t^2 - 8t + 16 = 0$. Solution is $r_1 = r_2 = 4$.

One More Example

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One More Example

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One More Example

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$$p \cdot 4 + q \cdot 4 = 12$$

One More Example

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$$\begin{aligned} p &= 1 \\ p \cdot 4 + q \cdot 4 &= 12 \end{aligned}$$

We get $p = 1$ and $q = 2$.

One More Example

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One More Example

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□

Divide and Conquer Recurrences

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Formula for Divide and Conquer Recurrence

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$$S(1) = k_0$$

Divide and Conquer Recurrences

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Note that $c^{\log n - i}$ in the expression above stands for $\frac{c^{\log n}}{c^i}$.

Example

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Solve the recurrence:

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Solve the recurrence:

$$T(1) = 3$$

$$T(n) = 2 \cdot T\left(\frac{n}{2}\right) + 2 \cdot n, \quad n \geq 2, \quad n = 2^m.$$

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As per the formula,

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Solve the recurrence:

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Outline

- 1 Recursive Definitions
- 2 Solving Recurrences
- 3 Expand-Guess-Verify
- 4 **Formula (including Master Theorem)**
 - **The Master Method**
- 5 The Recurrence Tree Method

The Master Method

The Master Method

Form

The Master Method

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Suppose your recurrence has the following form:

The Master Method

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Suppose your recurrence has the following form:

$$T(n) = \begin{cases} c, & \text{if } n \leq d \\ a \cdot T(\frac{n}{b}) + f(n), & \text{if } n > d \end{cases}$$

The Master Method

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Suppose your recurrence has the following form:

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Suppose your recurrence has the following form:

$$T(n) = \begin{cases} c, & \text{if } n \leq d \\ a \cdot T(\frac{n}{b}) + f(n), & \text{if } n > d \end{cases}$$

where, $a > 0$, $c > 0$, $b > 1$, d is an integer constant, and $f(n)$ is a complexity function.

The Master Method

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Let $r = \log_b a$.

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- (i) If there is a small constant $\epsilon > 0$, such that $f(n) \in O(n^{r-\epsilon})$, then $T(n) \in \Theta(n^r)$.

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- (ii) If there is a constant $k \geq 0$, such that $f(n) \in \Theta(n^r \cdot \log^k n)$, then $T(n) \in \Theta(n^r \log^{k+1} n)$.
- (iii) If there are small constants $\epsilon > 0$ and $\delta > 1$, such that $f(n) \in \Omega(n^{r+\epsilon})$, and $a \cdot f(\frac{n}{b}) \leq \delta \cdot f(n)$, for $n \geq d$, then $T(n) \in \Theta(f(n))$.

Examples

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① $T(n) = 4 \cdot T\left(\frac{n}{2}\right) + n.$

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❶ $T(n) = 4 \cdot T\left(\frac{n}{2}\right) + n.$

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- 3 $T(n) = T\left(\frac{n}{3}\right) + n.$

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The Recurrence Tree Method

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Analysis of Algorithms

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Function MAX(a, b)

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2:   return( $a$ ).  
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1: if ( $n = 1$ ) then  
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4:   return(MAX( $A[n]$ , FIND-MAX( $\mathbf{A}, n - 1$ ))).  
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Note

How many element-to-element comparisons are performed by the FIND-MAX() algorithm on an array of size n ?