

# Randomized Computation

K. Subramani<sup>1</sup>

<sup>1</sup>Lane Department of Computer Science and Electrical Engineering  
West Virginia University

March 27, 2009

# Outline

- 1 Randomized Algorithms
  - Three paradigmatic problems
  - 2SAT
  - Min-Cut
  - Non-singularity of a Symbolic Matrix

- 2 Randomized Complexity Classes

# Outline

- 1 Randomized Algorithms
  - Three paradigmatic problems
  - 2SAT
  - Min-Cut
  - Non-singularity of a Symbolic Matrix
  
- 2 Randomized Complexity Classes

# Outline

- 1 Randomized Algorithms
  - Three paradigmatic problems
    - 2SAT
    - Min-Cut
    - Non-singularity of a Symbolic Matrix
- 2 Randomized Complexity Classes

## Three paradigmatic problems

How useful is randomized computation?

- (i) 2SAT.
- (ii) Min-Cut.
- (iii) Non-singularity of a symbolic square matrix.

# Outline

- 1 Randomized Algorithms
  - Three paradigmatic problems
  - 2SAT
  - Min-Cut
  - Non-singularity of a Symbolic Matrix
- 2 Randomized Complexity Classes

## Problem Description

### Goal

Let  $\phi = C_1 \wedge C_2 \wedge \dots \wedge C_m$  denote a boolean formula in CNF over the boolean variables  $\{x_1, x_2, \dots, x_n\}$ , such that each clause  $C_i$  has exactly two variables. Determine whether  $\phi$  is satisfiable.

### Note

2SAT can be solved in  $O(m + n)$  time using Tarjan's connected components algorithm. This algorithm is a variant of the reachability method discussed in class.

## Problem Description

### Goal

Let  $\phi = C_1 \wedge C_2 \wedge \dots \wedge C_m$  denote a boolean formula in CNF over the boolean variables  $\{x_1, x_2, \dots, x_n\}$ , such that each clause  $C_i$  has exactly two variables. Determine whether  $\phi$  is satisfiable.

### Note

2SAT can be solved in  $O(m + n)$  time using Tarjan's connected components algorithm. This algorithm is a variant of the reachability method discussed in class.



## The 2CNF Algorithm

### **Function** SATISFIABILITY-TESTING( $\phi$ )

- 1: Start with an arbitrary assignment to the variables.
- 2: **while** (the current assignment is not satisfying) **do**
- 3:   Pick an unsatisfied clause.
- 4:   Uniformly and at random flip the value assigned to one of its two literals (variables).
- 5: **end while**

**Algorithm 2.1:** Papadimitriou's randomized algorithm for 2CNF Satisfiability

## The 2CNF Algorithm

**Function** SATISFIABILITY-TESTING( $\phi$ )

- 1: Start with an arbitrary assignment to the variables.
- 2: **while** (the current assignment is not satisfying) **do**
- 3:   Pick an unsatisfied clause.
- 4:   Uniformly and at random flip the value assigned to one of its two literals (variables).
- 5: **end while**

**Algorithm 2.2:** Papadimitriou's randomized algorithm for 2CNF Satisfiability

## The 2CNF Algorithm

**Function** SATISFIABILITY-TESTING( $\phi$ )

- 1: Start with an arbitrary assignment to the variables.
- 2: **while** (the current assignment is not satisfying) **do**
- 3:     Pick an unsatisfied clause.
- 4:     Uniformly and at random flip the value assigned to one of its two literals (variables).
- 5: **end while**

**Algorithm 2.3:** Papadimitriou's randomized algorithm for 2CNF Satisfiability

## The 2CNF Algorithm

**Function** SATISFIABILITY-TESTING( $\phi$ )

- 1: Start with an arbitrary assignment to the variables.
- 2: **while** (the current assignment is not satisfying) **do**
- 3:   Pick an unsatisfied clause.
- 4:   Uniformly and at random flip the value assigned to one of its two literals (variables).
- 5: **end while**

**Algorithm 2.4:** Papadimitriou's randomized algorithm for 2CNF Satisfiability

## The 2CNF Algorithm

**Function** SATISFIABILITY-TESTING( $\phi$ )

- 1: Start with an arbitrary assignment to the variables.
- 2: **while** (the current assignment is not satisfying) **do**
- 3:   Pick an unsatisfied clause.
- 4:   Uniformly and at random flip the value assigned to one of its two literals (variables).
- 5: **end while**

**Algorithm 2.5:** Papadimitriou's randomized algorithm for 2CNF Satisfiability

## Mathematical Preliminaries

### Theorem

Let  $X$  and  $Y$  be two random variables. Then  $\mathbf{E}[X] = \mathbf{E}[\mathbf{E}[X|Y]]$ .

### Theorem (Markov)

Let  $X$  be a non-negative random variable and let  $c > 0$  denote a constant. Then  $\Pr(X \geq c \cdot \mathbf{E}[X]) \leq \frac{1}{c}$ .

## Mathematical Preliminaries

### Theorem

Let  $X$  and  $Y$  be two random variables. Then  $\mathbf{E}[X] = \mathbf{E}[\mathbf{E}[X|Y]]$ .

### Theorem (Markov)

Let  $X$  be a non-negative random variable and let  $c > 0$  denote a constant. Then  $\Pr(X \geq c \cdot \mathbf{E}[X]) \leq \frac{1}{c}$ .

# Analysis

## Modeling as a random walk

Assume that  $\phi$  is satisfiable and focus on a particular satisfying assignment  $\hat{T}$ . Let  $T$  denote the current assignment. We want to bound the expected number of steps before  $T$  is transformed into  $\hat{T}$ .

Let  $t(i)$  denote the expected number of flips for  $T$  to become  $\hat{T}$ , assuming that  $T$  differs from  $\hat{T}$  in exactly  $i$  variables. It follows that,

$$t(0) = 0$$

$$t(n) = 1 + t(n-1)$$

$$t(i) \leq \frac{1}{2}t(i-1) + \frac{1}{2}t(i+1) + 1, 0 < i < n$$

### Observation

*The above system can be solved to get  $t(n) \leq n^2$ . From Markov's inequality it follows that the probability that  $T$  is not transformed into  $\hat{T}$  in at most  $2 \cdot n^2$  flips is less than one-half. Running time is  $O(n^2 \cdot (m + n))$ , which is hardly impressive.*



# Analysis

## Modeling as a random walk

Assume that  $\phi$  is satisfiable and focus on a particular satisfying assignment  $\hat{T}$ . Let  $T$  denote the current assignment. We want to bound the expected number of steps before  $T$  is transformed into  $\hat{T}$ .

Let  $t(i)$  denote the expected number of flips for  $T$  to become  $\hat{T}$ , assuming that  $T$  differs from  $\hat{T}$  in exactly  $i$  variables. It follows that,

$$t(0) = 0$$

$$t(n) = 1 + t(n-1)$$

$$t(i) \leq \frac{1}{2}t(i-1) + \frac{1}{2}t(i+1) + 1, 0 < i < n$$

### Observation

*The above system can be solved to get  $t(n) \leq n^2$ . From Markov's inequality it follows that the probability that  $T$  is not transformed into  $\hat{T}$  in at most  $2 \cdot n^2$  flips is less than one-half. Running time is  $O(n^2 \cdot (m + n))$ , which is hardly impressive.*

# Analysis

## Modeling as a random walk

Assume that  $\phi$  is satisfiable and focus on a particular satisfying assignment  $\hat{T}$ . Let  $T$  denote the current assignment. We want to bound the expected number of steps before  $T$  is transformed into  $\hat{T}$ .

Let  $t(i)$  denote the expected number of flips for  $T$  to become  $\hat{T}$ , assuming that  $T$  differs from  $\hat{T}$  in exactly  $i$  variables. It follows that,

$$t(0) = 0$$

$$t(n) = 1 + t(n-1)$$

$$t(i) \leq \frac{1}{2}t(i-1) + \frac{1}{2}t(i+1) + 1, 0 < i < n$$

### Observation

*The above system can be solved to get  $t(n) \leq n^2$ . From Markov's inequality it follows that the probability that  $T$  is not transformed into  $\hat{T}$  in at most  $2 \cdot n^2$  flips is less than one-half. Running time is  $O(n^2 \cdot (m+n))$ , which is hardly impressive.*

# Analysis

## Modeling as a random walk

Assume that  $\phi$  is satisfiable and focus on a particular satisfying assignment  $\hat{T}$ . Let  $T$  denote the current assignment. We want to bound the expected number of steps before  $T$  is transformed into  $\hat{T}$ .

Let  $t(i)$  denote the expected number of flips for  $T$  to become  $\hat{T}$ , assuming that  $T$  differs from  $\hat{T}$  in exactly  $i$  variables. It follows that,

$$t(0) = 0$$

$$t(n) = 1 + t(n-1)$$

$$t(i) \leq \frac{1}{2}t(i-1) + \frac{1}{2}t(i+1) + 1, 0 < i < n$$

### Observation

*The above system can be solved to get  $t(n) \leq n^2$ . From Markov's inequality it follows that the probability that  $T$  is not transformed into  $\hat{T}$  in at most  $2 \cdot n^2$  flips is less than one-half. Running time is  $O(n^2 \cdot (m+n))$ , which is hardly impressive.*

# Analysis

## Modeling as a random walk

Assume that  $\phi$  is satisfiable and focus on a particular satisfying assignment  $\hat{T}$ . Let  $T$  denote the current assignment. We want to bound the expected number of steps before  $T$  is transformed into  $\hat{T}$ .

Let  $t(i)$  denote the expected number of flips for  $T$  to become  $\hat{T}$ , assuming that  $T$  differs from  $\hat{T}$  in exactly  $i$  variables. It follows that,

$$t(0) = 0$$

$$t(n) = 1 + t(n-1)$$

$$t(i) \leq \frac{1}{2}t(i-1) + \frac{1}{2}t(i+1) + 1, 0 < i < n$$

### Observation

*The above system can be solved to get  $t(n) \leq n^2$ . From Markov's inequality it follows that the probability that  $T$  is not transformed into  $\hat{T}$  in at most  $2 \cdot n^2$  flips is less than one-half. Running time is  $O(n^2 \cdot (m+n))$ , which is hardly impressive.*

# Analysis

## Modeling as a random walk

Assume that  $\phi$  is satisfiable and focus on a particular satisfying assignment  $\hat{T}$ . Let  $T$  denote the current assignment. We want to bound the expected number of steps before  $T$  is transformed into  $\hat{T}$ .

Let  $t(i)$  denote the expected number of flips for  $T$  to become  $\hat{T}$ , assuming that  $T$  differs from  $\hat{T}$  in exactly  $i$  variables. It follows that,

$$t(0) = 0$$

$$t(n) = 1 + t(n-1)$$

$$t(i) \leq \frac{1}{2}t(i-1) + \frac{1}{2}t(i+1) + 1, 0 < i < n$$

### Observation

*The above system can be solved to get  $t(n) \leq n^2$ . From Markov's inequality it follows that the probability that  $T$  is not transformed into  $\hat{T}$  in at most  $2 \cdot n^2$  flips is less than one-half. Running time is  $O(n^2 \cdot (m+n))$ , which is hardly impressive.*

# Analysis

## Modeling as a random walk

Assume that  $\phi$  is satisfiable and focus on a particular satisfying assignment  $\hat{T}$ . Let  $T$  denote the current assignment. We want to bound the expected number of steps before  $T$  is transformed into  $\hat{T}$ .

Let  $t(i)$  denote the expected number of flips for  $T$  to become  $\hat{T}$ , assuming that  $T$  differs from  $\hat{T}$  in exactly  $i$  variables. It follows that,

$$t(0) = 0$$

$$t(n) = 1 + t(n-1)$$

$$t(i) \leq \frac{1}{2}t(i-1) + \frac{1}{2}t(i+1) + 1, 0 < i < n$$

## Observation

*The above system can be solved to get  $t(n) \leq n^2$ . From Markov's inequality it follows that the probability that  $T$  is not transformed into  $\hat{T}$  in at most  $2 \cdot n^2$  flips is less than one-half. Running time is  $O(n^2 \cdot (m+n))$ , which is hardly impressive.*

# Analysis

## Modeling as a random walk

Assume that  $\phi$  is satisfiable and focus on a particular satisfying assignment  $\hat{T}$ . Let  $T$  denote the current assignment. We want to bound the expected number of steps before  $T$  is transformed into  $\hat{T}$ .

Let  $t(i)$  denote the expected number of flips for  $T$  to become  $\hat{T}$ , assuming that  $T$  differs from  $\hat{T}$  in exactly  $i$  variables. It follows that,

$$t(0) = 0$$

$$t(n) = 1 + t(n-1)$$

$$t(i) \leq \frac{1}{2}t(i-1) + \frac{1}{2}t(i+1) + 1, 0 < i < n$$

## Observation

*The above system can be solved to get  $t(n) \leq n^2$ . From Markov's inequality it follows that the probability that  $T$  is not transformed into  $\hat{T}$  in at most  $2 \cdot n^2$  flips is less than one-half. Running time is  $O(n^2 \cdot (m+n))$ , which is hardly impressive.*

# Outline

- 1 **Randomized Algorithms**
  - Three paradigmatic problems
  - 2SAT
  - **Min-Cut**
  - Non-singularity of a Symbolic Matrix
  
- 2 Randomized Complexity Classes



## Problem Description

### Goal

Given an unweighted, undirected graph  $G = \langle V, E \rangle$ , find the smallest cardinality set  $E' \subseteq E$ , such that  $G = \langle V, E - E' \rangle$  has at least two components. *Also called edge connectivity.*

### Note

*Min-Cut can be solved in polynomial time using network flow techniques.*

### Observation

*The Min-Cut of a graph is no larger than the degree of the smallest degree vertex.*

## Problem Description

### Goal

Given an unweighted, undirected graph  $G = \langle V, E \rangle$ , find the smallest cardinality set  $E' \subseteq E$ , such that  $G = \langle V, E - E' \rangle$  has at least two components. Also called edge connectivity.

### Note

Min-Cut can be solved in polynomial time using network flow techniques.

### Observation

The Min-Cut of a graph is no larger than the degree of the smallest degree vertex.

## Problem Description

### Goal

Given an unweighted, undirected graph  $G = \langle V, E \rangle$ , find the smallest cardinality set  $E' \subseteq E$ , such that  $G = \langle V, E - E' \rangle$  has at least two components. Also called edge connectivity.

### Note

Min-Cut can be solved in polynomial time using network flow techniques.

### Observation

The Min-Cut of a graph is no larger than the degree of the smallest degree vertex.

## Problem Description

### Goal

*Given an unweighted, undirected graph  $G = \langle V, E \rangle$ , find the smallest cardinality set  $E' \subseteq E$ , such that  $G = \langle V, E - E' \rangle$  has at least two components. Also called edge connectivity.*

### Note

*Min-Cut can be solved in polynomial time using network flow techniques.*

### Observation

*The Min-Cut of a graph is no larger than the degree of the smallest degree vertex.*

## Edge contraction

### Procedure

- (i) *Identify the vertices corresponding to an edge, i.e., make them into one large vertex.*
- (ii) *Remove all self-loops, if formed.*
- (iii) *Maintain all parallel edges, if formed.*

### Observation

*Contracting an edge does not decrease the Min-Cut of a graph.*

## Edge contraction

### Procedure

- (i) *Identify the vertices corresponding to an edge, i.e., make them into one large vertex.*
- (ii) *Remove all self-loops, if formed.*
- (iii) *Maintain all parallel edges, if formed.*

### Observation

*Contracting an edge does not decrease the Min-Cut of a graph.*

## Edge contraction

### Procedure

- (i) *Identify the vertices corresponding to an edge, i.e., make them into one large vertex.*
- (ii) *Remove all self-loops, if formed.*
- (iii) *Maintain all parallel edges, if formed.*

### Observation

*Contracting an edge does not decrease the Min-Cut of a graph.*

## Edge contraction

### Procedure

- (i) *Identify the vertices corresponding to an edge, i.e., make them into one large vertex.*
- (ii) *Remove all self-loops, if formed.*
- (iii) *Maintain all parallel edges, if formed.*

### Observation

*Contracting an edge does not decrease the Min-Cut of a graph.*



## Edge contraction

### Procedure

- (i) *Identify the vertices corresponding to an edge, i.e., make them into one large vertex.*
- (ii) *Remove all self-loops, if formed.*
- (iii) *Maintain all parallel edges, if formed.*

### Observation

*Contracting an edge does not decrease the Min-Cut of a graph.*

## The Min-Cut Algorithm

**Function** MIN-CUT( $G = \langle(V, E)\rangle$ )

- 1: **while** ( $G$  has more than 2 vertices) **do**
- 2:     Select an edge uniformly and at random, and contract it.
- 3: **end while**
- 4: **return**(The cut determined by the two remaining vertices)

**Algorithm 2.6:** Karger's Min-Cut Algorithm

# The Min-Cut Algorithm

**Function** MIN-CUT( $G = \langle(V, E)\rangle$ )

- 1: **while** ( $G$  has more than 2 vertices) **do**
- 2:     Select an edge uniformly and at random, and contract it.
- 3: **end while**
- 4: **return**(The cut determined by the two remaining vertices)

**Algorithm 2.7:** Karger's Min-Cut Algorithm

# The Min-Cut Algorithm

**Function** MIN-CUT( $G = \langle(V, E)\rangle$ )

- 1: **while** ( $G$  has more than 2 vertices) **do**
- 2:     Select an edge uniformly and at random, and contract it.
- 3: **end while**
- 4: **return**(The cut determined by the two remaining vertices)

**Algorithm 2.8:** Karger's Min-Cut Algorithm

## Mathematical Preliminaries

## Theorem

Let  $E_1, E_2, \dots, E_k$  denote a collection of  $k$  events on some sample space. Then

$$\Pr(\cap_{i=1}^n E_i) = \Pr(E_1) \times \Pr(E_2|E_1) \times \Pr(E_3|(E_1 \cap E_2)) \dots \times \Pr(E_k | \cap_{i=1}^{k-1} E_i).$$

Proof.

By definition,

$$\begin{aligned} \Pr(E_2|E_1) &= \frac{\Pr(E_1 \cap E_2)}{\Pr(E_1)} \\ \Rightarrow \Pr(E_1 \cap E_2) &= \Pr(E_1) \cdot \Pr(E_2|E_1). \end{aligned}$$

Now use induction! □

## Mathematical Preliminaries

## Theorem

Let  $E_1, E_2, \dots, E_k$  denote a collection of  $k$  events on some sample space. Then

$$\Pr(\cap_{i=1}^n E_i) = \Pr(E_1) \times \Pr(E_2|E_1) \times \Pr(E_3|(E_1 \cap E_2)) \dots \times \Pr(E_k | \cap_{i=1}^{k-1} E_i).$$

## Proof.

By definition,

$$\begin{aligned} \Pr(E_2|E_1) &= \frac{\Pr(E_1 \cap E_2)}{\Pr(E_1)} \\ \Rightarrow \Pr(E_1 \cap E_2) &= \Pr(E_1) \cdot \Pr(E_2|E_1). \end{aligned}$$

Now use induction! □

## Mathematical Preliminaries

## Theorem

Let  $E_1, E_2, \dots, E_k$  denote a collection of  $k$  events on some sample space. Then

$$\Pr(\cap_{i=1}^n E_i) = \Pr(E_1) \times \Pr(E_2|E_1) \times \Pr(E_3|(E_1 \cap E_2)) \dots \times \Pr(E_k | \cap_{i=1}^{k-1} E_i).$$

## Proof.

By definition,

$$\begin{aligned} \Pr(E_2|E_1) &= \frac{\Pr(E_1 \cap E_2)}{\Pr(E_1)} \\ \Rightarrow \Pr(E_1 \cap E_2) &= \Pr(E_1) \cdot \Pr(E_2|E_1). \end{aligned}$$

Now use induction! □

# Analysis

## Steps

- (i) Focus on a specific Min-Cut  $C$  of  $G$  having exactly  $k$  edges.
- (ii) Clearly  $G$  must have at least  $\frac{kn}{2}$  edges.
- (iii) Let  $E_i$  denote the event that no edge of  $C$  is picked for contraction during the  $i^{\text{th}}$  iteration.
- (iv) Thus,  $E = \bigcap_{i=1}^{n-1} E_i$  denotes the event that no edge of  $C$  is touched, i.e., the cut  $C$  survives.
- (v) The probability that an edge picked randomly in round 1 is in  $C$  is at most  $\frac{k}{\frac{kn}{2}}$ .  

$$\Pr(E_1) \geq \left(1 - \frac{2}{n}\right).$$
- (vi) Let us now bound  $\Pr(E_2|E_1)$ . If  $E_1$  has occurred, then after round 1, the graph has at least  $\frac{k \cdot (n-1)}{2}$  edges.  $\Rightarrow \Pr(E_2|E_1) \geq \left(1 - \frac{2}{n-1}\right)$ .
- (vii) Working in identical fashion,  $\Pr(E_i | \bigcap_{j=1}^{i-1} E_j) \geq \left(1 - \frac{2}{(n-i+1)}\right)$ .



# Analysis

## Steps

- (i) Focus on a specific Min-Cut  $C$  of  $G$  having exactly  $k$  edges.
- (ii) Clearly  $G$  must have at least  $\frac{kn}{2}$  edges.
- (iii) Let  $E_i$  denote the event that no edge of  $C$  is picked for contraction during the  $i^{\text{th}}$  iteration.
- (iv) Thus,  $E = \bigcap_{i=1}^{n-1} E_i$  denotes the event that no edge of  $C$  is touched, i.e., the cut  $C$  survives.
- (v) The probability that an edge picked randomly in round 1 is in  $C$  is at most  $\frac{k}{\frac{kn}{2}}$ .  

$$\Pr(E_1) \geq \left(1 - \frac{2}{n}\right).$$
- (vi) Let us now bound  $\Pr(E_2|E_1)$ . If  $E_1$  has occurred, then after round 1, the graph has at least  $\frac{k \cdot (n-1)}{2}$  edges.  $\Rightarrow \Pr(E_2|E_1) \geq \left(1 - \frac{2}{n-1}\right)$ .
- (vii) Working in identical fashion,  $\Pr(E_i | \bigcap_{j=1}^{i-1} E_j) \geq \left(1 - \frac{2}{(n-i+1)}\right)$ .

# Analysis

## Steps

- (i) Focus on a specific Min-Cut  $C$  of  $G$  having exactly  $k$  edges.
- (ii) Clearly  $G$  must have at least  $\frac{kn}{2}$  edges.
- (iii) Let  $E_i$  denote the event that no edge of  $C$  is picked for contraction during the  $i^{\text{th}}$  iteration.
- (iv) Thus,  $E = \cap_{i=1}^{n-1} E_i$  denotes the event that no edge of  $C$  is touched, i.e., the cut  $C$  survives.
- (v) The probability that an edge picked randomly in round 1 is in  $C$  is at most  $\frac{k}{kn/2}$ .  

$$\Pr(E_1) \geq (1 - \frac{2}{n}).$$
- (vi) Let us now bound  $\Pr(E_2|E_1)$ . If  $E_1$  has occurred, then after round 1, the graph has at least  $\frac{k \cdot (n-1)}{2}$  edges.  $\Rightarrow \Pr(E_2|E_1) \geq (1 - \frac{2}{n-1})$ .
- (vii) Working in identical fashion,  $\Pr(E_i | \cap_{j=1}^{i-1} E_j) \geq (1 - \frac{2}{(n-i+1)})$ .

# Analysis

## Steps

- (i) Focus on a specific Min-Cut  $C$  of  $G$  having exactly  $k$  edges.
- (ii) Clearly  $G$  must have at least  $\frac{kn}{2}$  edges.
- (iii) Let  $E_i$  denote the event that no edge of  $C$  is picked for contraction during the  $i^{\text{th}}$  iteration.
- (iv) Thus,  $E = \bigcap_{i=1}^{n-1} E_i$  denotes the event that no edge of  $C$  is touched, i.e., the cut  $C$  survives.
- (v) The probability that an edge picked randomly in round 1 is in  $C$  is at most  $\frac{k}{kn/2}$ .  

$$\Pr(E_1) \geq \left(1 - \frac{2}{n}\right).$$
- (vi) Let us now bound  $\Pr(E_2|E_1)$ . If  $E_1$  has occurred, then after round 1, the graph has at least  $\frac{k \cdot (n-1)}{2}$  edges.  $\Rightarrow \Pr(E_2|E_1) \geq \left(1 - \frac{2}{n-1}\right)$ .
- (vii) Working in identical fashion,  $\Pr(E_i | \bigcap_{j=1}^{i-1} E_j) \geq \left(1 - \frac{2}{(n-i+1)}\right)$ .

# Analysis

## Steps

- (i) Focus on a specific Min-Cut  $C$  of  $G$  having exactly  $k$  edges.
- (ii) Clearly  $G$  must have at least  $\frac{kn}{2}$  edges.
- (iii) Let  $E_i$  denote the event that no edge of  $C$  is picked for contraction during the  $i^{\text{th}}$  iteration.
- (iv) Thus,  $E = \bigcap_{i=1}^{n-1} E_i$  denotes the event that no edge of  $C$  is touched, i.e., the cut  $C$  survives.
- (v) The probability that an edge picked randomly in round 1 is in  $C$  is at most  $\frac{k}{kn}$ .  

$$\Pr(E_1) \geq \left(1 - \frac{2}{n}\right).$$
- (vi) Let us now bound  $\Pr(E_2|E_1)$ . If  $E_1$  has occurred, then after round 1, the graph has at least  $\frac{k \cdot (n-1)}{2}$  edges.  $\Rightarrow \Pr(E_2|E_1) \geq \left(1 - \frac{2}{n-1}\right)$ .
- (vii) Working in identical fashion,  $\Pr(E_i | \bigcap_{j=1}^{i-1} E_j) \geq \left(1 - \frac{2}{(n-i+1)}\right)$ .

# Analysis

## Steps

- (i) Focus on a specific Min-Cut  $C$  of  $G$  having exactly  $k$  edges.
  - (ii) Clearly  $G$  must have at least  $\frac{kn}{2}$  edges.
  - (iii) Let  $E_i$  denote the event that no edge of  $C$  is picked for contraction during the  $i^{\text{th}}$  iteration.
  - (iv) Thus,  $E = \bigcap_{i=1}^{n-1} E_i$  denotes the event that no edge of  $C$  is touched, i.e., the cut  $C$  survives.
  - (v) The probability that an edge picked randomly in round 1 is in  $C$  is at most  $\frac{k}{kn}$ .
- $\Pr(E_1) \geq (1 - \frac{2}{n}).$
- (vi) Let us now bound  $\Pr(E_2|E_1)$ . If  $E_1$  has occurred, then after round 1, the graph has at least  $\frac{k \cdot (n-1)}{2}$  edges.  $\Rightarrow \Pr(E_2|E_1) \geq (1 - \frac{2}{n-1}).$
  - (vii) Working in identical fashion,  $\Pr(E_i | \bigcap_{j=1}^{i-1} E_j) \geq (1 - \frac{2}{(n-i+1)}).$

# Analysis

## Steps

- (i) Focus on a specific Min-Cut  $C$  of  $G$  having exactly  $k$  edges.
- (ii) Clearly  $G$  must have at least  $\frac{kn}{2}$  edges.
- (iii) Let  $E_i$  denote the event that no edge of  $C$  is picked for contraction during the  $i^{\text{th}}$  iteration.
- (iv) Thus,  $E = \bigcap_{i=1}^{n-1} E_i$  denotes the event that no edge of  $C$  is touched, i.e., the cut  $C$  survives.
- (v) The probability that an edge picked randomly in round 1 is in  $C$  is at most  $\frac{k}{kn}$ .  
 $\Pr(E_1) \geq (1 - \frac{2}{n})$ .
- (vi) Let us now bound  $\Pr(E_2|E_1)$ . If  $E_1$  has occurred, then after round 1, the graph has at least  $\frac{k \cdot (n-1)}{2}$  edges.  $\Rightarrow \Pr(E_2|E_1) \geq (1 - \frac{2}{n-1})$ .
- (vii) Working in identical fashion,  $\Pr(E_i | \bigcap_{j=1}^{i-1} E_j) \geq (1 - \frac{2}{(n-i+1)})$ .

# Analysis

## Steps

- (i) Focus on a specific Min-Cut  $C$  of  $G$  having exactly  $k$  edges.
- (ii) Clearly  $G$  must have at least  $\frac{kn}{2}$  edges.
- (iii) Let  $E_i$  denote the event that no edge of  $C$  is picked for contraction during the  $i^{\text{th}}$  iteration.
- (iv) Thus,  $E = \bigcap_{i=1}^{n-1} E_i$  denotes the event that no edge of  $C$  is touched, i.e., the cut  $C$  survives.
- (v) The probability that an edge picked randomly in round 1 is in  $C$  is at most  $\frac{k}{kn}$ .  
 $\Pr(E_1) \geq (1 - \frac{2}{n})$ .
- (vi) Let us now bound  $\Pr(E_2|E_1)$ . If  $E_1$  has occurred, then after round 1, the graph has at least  $\frac{k \cdot (n-1)}{2}$  edges.  $\Rightarrow \Pr(E_2|E_1) \geq (1 - \frac{2}{n-1})$ .
- (vii) Working in identical fashion,  $\Pr(E_i | \bigcap_{j=1}^{i-1} E_j) \geq (1 - \frac{2}{(n-i+1)})$ .

# Analysis

## Steps

- (i) Focus on a specific Min-Cut  $C$  of  $G$  having exactly  $k$  edges.
- (ii) Clearly  $G$  must have at least  $\frac{kn}{2}$  edges.
- (iii) Let  $E_i$  denote the event that no edge of  $C$  is picked for contraction during the  $i^{\text{th}}$  iteration.
- (iv) Thus,  $E = \bigcap_{i=1}^{n-1} E_i$  denotes the event that no edge of  $C$  is touched, i.e., the cut  $C$  survives.
- (v) The probability that an edge picked randomly in round 1 is in  $C$  is at most  $\frac{k}{kn}$ .  
 $\Pr(E_1) \geq (1 - \frac{2}{n})$ .
- (vi) Let us now bound  $\Pr(E_2|E_1)$ . If  $E_1$  has occurred, then after round 1, the graph has at least  $\frac{k \cdot (n-1)}{2}$  edges.  $\Rightarrow \Pr(E_2|E_1) \geq (1 - \frac{2}{n-1})$ .
- (vii) Working in identical fashion,  $\Pr(E_i | \bigcap_{j=1}^{i-1} E_j) \geq (1 - \frac{2}{(n-i+1)})$ .



# Analysis

## Steps

- (i) Focus on a specific Min-Cut  $C$  of  $G$  having exactly  $k$  edges.
- (ii) Clearly  $G$  must have at least  $\frac{kn}{2}$  edges.
- (iii) Let  $E_i$  denote the event that no edge of  $C$  is picked for contraction during the  $i^{\text{th}}$  iteration.
- (iv) Thus,  $E = \bigcap_{i=1}^{n-1} E_i$  denotes the event that no edge of  $C$  is touched, i.e., the cut  $C$  survives.
- (v) The probability that an edge picked randomly in round 1 is in  $C$  is at most  $\frac{k}{kn}$ .  
 $\Pr(E_1) \geq (1 - \frac{2}{n})$ .
- (vi) Let us now bound  $\Pr(E_2|E_1)$ . If  $E_1$  has occurred, then after round 1, the graph has at least  $\frac{k \cdot (n-1)}{2}$  edges.  $\Rightarrow \Pr(E_2|E_1) \geq (1 - \frac{2}{n-1})$ .
- (vii) Working in identical fashion,  $\Pr(E_i | \bigcap_{j=1}^{i-1} E_j) \geq (1 - \frac{2}{(n-i+1)})$ .

# Analysis

## Steps

- (i) Focus on a specific Min-Cut  $C$  of  $G$  having exactly  $k$  edges.
- (ii) Clearly  $G$  must have at least  $\frac{kn}{2}$  edges.
- (iii) Let  $E_i$  denote the event that no edge of  $C$  is picked for contraction during the  $i^{\text{th}}$  iteration.
- (iv) Thus,  $E = \bigcap_{i=1}^{n-1} E_i$  denotes the event that no edge of  $C$  is touched, i.e., the cut  $C$  survives.
- (v) The probability that an edge picked randomly in round 1 is in  $C$  is at most  $\frac{k}{kn}$ .  
 $\Pr(E_1) \geq (1 - \frac{2}{n})$ .
- (vi) Let us now bound  $\Pr(E_2|E_1)$ . If  $E_1$  has occurred, then after round 1, the graph has at least  $\frac{k \cdot (n-1)}{2}$  edges.  $\Rightarrow \Pr(E_2|E_1) \geq (1 - \frac{2}{n-1})$ .
- (vii) Working in identical fashion,  $\Pr(E_i | \bigcap_{j=1}^{i-1} E_j) \geq (1 - \frac{2}{(n-i+1)})$ .

## Analysis (contd.)

## Steps

(i) It follows that

$$\begin{aligned}\Pr(E) &\geq \Pr(\cap_{i=1}^{n-2} E_i) \\ &= \prod_{i=1}^{n-2} \left(1 - \frac{2}{(n-i+1)}\right) \\ &= \frac{2}{n \cdot (n-1)} \\ &\geq \frac{2}{n^2}\end{aligned}$$

(ii) Thus, the probability that  $C$  survives all the contractions is at least  $\frac{2}{n^2}$ .

(iii) Thus, the probability that  $C$  does not survive all the contractions is at most  $(1 - \frac{2}{n^2})$ .

## Analysis (contd.)

## Steps

(i) It follows that

$$\begin{aligned}\Pr(E) &\geq \Pr(\cap_{i=1}^{n-2} E_i) \\ &= \prod_{i=1}^{n-2} \left(1 - \frac{2}{(n-i+1)}\right) \\ &= \frac{2}{n \cdot (n-1)} \\ &\geq \frac{2}{n^2}\end{aligned}$$

(ii) Thus, the probability that  $C$  survives all the contractions is at least  $\frac{2}{n^2}$ .

(iii) Thus, the probability that  $C$  does not survive all the contractions is at most  $(1 - \frac{2}{n^2})$ .

## Analysis (contd.)

## Steps

(i) It follows that

$$\begin{aligned}\Pr(E) &\geq \Pr(\cap_{i=1}^{n-2} E_i) \\ &= \prod_{i=1}^{n-2} (1 - \frac{2}{(n-i+1)}) \\ &= \frac{2}{n \cdot (n-1)} \\ &\geq \frac{2}{n^2}\end{aligned}$$

(ii) Thus, the probability that  $C$  survives all the contractions is at least  $\frac{2}{n^2}$ .

(iii) Thus, the probability that  $C$  does not survive all the contractions is at most  $(1 - \frac{2}{n^2})$ .

## Analysis (contd.)

## Steps

(i) It follows that

$$\begin{aligned}\Pr(E) &\geq \Pr(\cap_{i=1}^{n-2} E_i) \\ &= \prod_{i=1}^{n-2} \left(1 - \frac{2}{(n-i+1)}\right) \\ &= \frac{2}{n \cdot (n-1)} \\ &\geq \frac{2}{n^2}\end{aligned}$$

(ii) Thus, the probability that  $C$  survives all the contractions is at least  $\frac{2}{n^2}$ .

(iii) Thus, the probability that  $C$  does not survive all the contractions is at most  $(1 - \frac{2}{n^2})$ .

## Analysis (contd.)

## Steps

(i) It follows that

$$\begin{aligned}\Pr(E) &\geq \Pr(\cap_{i=1}^{n-2} E_i) \\ &= \prod_{i=1}^{n-2} \left(1 - \frac{2}{(n-i+1)}\right) \\ &= \frac{2}{n \cdot (n-1)} \\ &\geq \frac{2}{n^2}\end{aligned}$$

(ii) Thus, the probability that  $C$  survives all the contractions is at least  $\frac{2}{n^2}$ .

(iii) Thus, the probability that  $C$  does not survive all the contractions is at most  $(1 - \frac{2}{n^2})$ .

## Analysis (contd.)

## Steps

(i) It follows that

$$\begin{aligned}\Pr(E) &\geq \Pr(\cap_{i=1}^{n-2} E_i) \\ &= \prod_{i=1}^{n-2} (1 - \frac{2}{(n-i+1)}) \\ &= \frac{2}{n \cdot (n-1)} \\ &\geq \frac{2}{n^2}\end{aligned}$$

(ii) Thus, the probability that  $C$  survives all the contractions is at least  $\frac{2}{n^2}$ .

(iii) Thus, the probability that  $C$  does not survive all the contractions is at most  $(1 - \frac{2}{n^2})$ .



## Analysis (contd.)

### Observation

If Karger's algorithm is run  $\frac{n^2}{2}$  times on the same graph, the probability that  $C$  does not survive any of the runs is at most  $(1 - \frac{2}{n^2})^{\frac{n^2}{2}} < \frac{1}{e}$ .

In other words, the probability that  $C$  is obtained after  $\frac{n^2}{2}$  runs is at least  $(1 - \frac{1}{e})$ .

### Note

Karger's algorithm is both simpler and faster than any deterministic algorithm for determining the Min-Cut of an undirected graph.

## Analysis (contd.)

### Observation

If Karger's algorithm is run  $\frac{n^2}{2}$  times on the same graph, the probability that  $C$  does not survive any of the runs is at most  $(1 - \frac{2}{n^2})^{\frac{n^2}{2}} < \frac{1}{e}$ .

In other words, the probability that  $C$  is obtained after  $\frac{n^2}{2}$  runs is at least  $(1 - \frac{1}{e})$ .

### Note

Karger's algorithm is both simpler and faster than any deterministic algorithm for determining the Min-Cut of an undirected graph.

## Analysis (contd.)

### Observation

If Karger's algorithm is run  $\frac{n^2}{2}$  times on the same graph, the probability that  $C$  does not survive any of the runs is at most  $(1 - \frac{2}{n^2})^{\frac{n^2}{2}} < \frac{1}{e}$ .

In other words, the probability that  $C$  is obtained after  $\frac{n^2}{2}$  runs is at least  $(1 - \frac{1}{e})$ .

### Note

*Karger's algorithm is both simpler and faster than any deterministic algorithm for determining the Min-Cut of an undirected graph.*

## Analysis (contd.)

### Observation

If Karger's algorithm is run  $\frac{n^2}{2}$  times on the same graph, the probability that  $C$  does not survive any of the runs is at most  $(1 - \frac{2}{n^2})^{\frac{n^2}{2}} < \frac{1}{e}$ .

In other words, the probability that  $C$  is obtained after  $\frac{n^2}{2}$  runs is at least  $(1 - \frac{1}{e})$ .

### Note

Karger's algorithm is both simpler and faster than any deterministic algorithm for determining the Min-Cut of an undirected graph.

# Outline

- 1 Randomized Algorithms
  - Three paradigmatic problems
  - 2SAT
  - Min-Cut
  - Non-singularity of a Symbolic Matrix
- 2 Randomized Complexity Classes

## Problem Description

### Definition

Given an  $n \times n$  matrix  $\mathbf{A}$ , the determinant of  $\mathbf{A}$  denoted by  $|\mathbf{A}|$  is defined as:  $\sum_{\pi} \sigma(\pi) \prod_{i=1}^n A_{i, \pi(i)}$ , where the summation is over all the permutations of  $n$  elements and  $\sigma(\pi)$  is  $+1$  if  $\pi$  is the product of an even number of transpositions and  $-1$  otherwise. A matrix is said to be singular, if its determinant is identically 0 and non-singular otherwise.

### Definition

A symbolic matrix is a matrix whose entries are polynomials, e.g.,

$$\begin{pmatrix} a & a^2 - 1 \\ d + b & e - a \end{pmatrix}$$

### Goal

*Given a symbolic square matrix, check whether it is identically zero, i.e., regardless of the values of the variables, the determinant always evaluates to zero.*

## Problem Description

### Definition

Given an  $n \times n$  matrix  $\mathbf{A}$ , the determinant of  $\mathbf{A}$  denoted by  $|\mathbf{A}|$  is defined as:  $\sum_{\pi} \sigma(\pi) \prod_{i=1}^n A_{i, \pi(i)}$ , where the summation is over all the permutations of  $n$  elements and  $\sigma(\pi)$  is  $+1$  if  $\pi$  is the product of an even number of transpositions and  $-1$  otherwise. A matrix is said to be singular, if its determinant is identically 0 and non-singular otherwise.

### Definition

A symbolic matrix is a matrix whose entries are polynomials, e.g.,

$$\begin{pmatrix} a & a^2 - 1 \\ d + b & e - a \end{pmatrix}$$

### Goal

*Given a symbolic square matrix, check whether it is identically zero, i.e., regardless of the values of the variables, the determinant always evaluates to zero.*

## Problem Description

### Definition

Given an  $n \times n$  matrix  $\mathbf{A}$ , the determinant of  $\mathbf{A}$  denoted by  $|\mathbf{A}|$  is defined as:  $\sum_{\pi} \sigma(\pi) \prod_{i=1}^n A_{i, \pi(i)}$ , where the summation is over all the permutations of  $n$  elements and  $\sigma(\pi)$  is  $+1$  if  $\pi$  is the product of an even number of transpositions and  $-1$  otherwise. A matrix is said to be singular, if its determinant is identically 0 and non-singular otherwise.

### Definition

A symbolic matrix is a matrix whose entries are polynomials, e.g.,

$$\begin{pmatrix} a & a^2 - 1 \\ d + b & e - a \end{pmatrix}$$

### Goal

*Given a symbolic square matrix, check whether it is identically zero, i.e., regardless of the values of the variables, the determinant always evaluates to zero.*



## Issues involved in non-singularity checking

### Issues

- (i) Expansion is expensive!
- (ii) Gaussian elimination is also expensive.
- (iii) What is the complexity of this problem? Why?

## Issues involved in non-singularity checking

### Issues

- (i) Expansion is expensive!
- (ii) Gaussian elimination is also expensive.
- (iii) What is the complexity of this problem? Why?

## Issues involved in non-singularity checking

### Issues

- (i) Expansion is expensive!
- (ii) Gaussian elimination is also expensive.
- (iii) What is the complexity of this problem? Why?

## Issues involved in non-singularity checking

### Issues

- (i) Expansion is expensive!
- (ii) Gaussian elimination is also expensive.
- (iii) What is the complexity of this problem? Why?

## Mathematical Preliminaries

### Theorem

*Let  $\phi(x_1, x_2, \dots, x_m)$  be a polynomial, not identically zero, in  $m$  variables, each having degree at most  $d$ . Let  $M > 0$  denote an integer. Then the number of  $m$ -tuples  $\langle z_1, z_2, \dots, z_m \rangle \in \{0, 1, \dots, M-1\}^m$  such that  $\phi(z_1, z_2, \dots, z_m) = 0$  is at most  $m \cdot d \cdot M^{m-1}$ .*

## Mathematical Preliminaries (contd.)

## Proof.

If  $m = 1$ , the theorem is trivially true, as per the fundamental theorem of algebra!

Assume true for  $m - 1$  variables. Rewrite  $\phi$  so that it is a polynomial in  $x_m$  with coefficients in  $\{x_1, x_2, \dots, x_{m-1}\}$ , i.e.,

$$\phi = (\phi_1(x_1, x_2, \dots, x_{m-1}))x_m^d + (\phi_2(x_1, x_2, \dots, x_{m-1}))x_m^{d-1} + \dots + (\phi_{d-1}(x_1, x_2, \dots, x_{m-1}))x_m^1 + (\phi_d(x_1, x_2, \dots, x_{m-1})).$$

Let  $\phi(z = \langle z_1, z_2, \dots, z_m \rangle) = 0$ .

Consider the following two cases:

- (i)  $\phi_1(z) = 0$ . This means that  $z$  is a root of  $\phi_1$  and by induction, there are at most  $(m - 1) \cdot d \cdot M^{m-2}$  of these. For each of the  $M$  possible values of  $x_m$ , the first term will be zero. The total number of such possibilities is  $(m - 1) \cdot d \cdot M^{m-2} \cdot M = (m - 1) \cdot d \cdot M^{m-1}$ .
- (ii)  $\phi_1(z) \neq 0$ . This means that  $\phi(z)$  defines a polynomial in  $x_m$  with degree at most  $d$ . Observe that for each combination of  $x_1, x_2, \dots, x_{m-1} \in \{0, 1, \dots, M - 1\}$ , the resultant polynomial has at most  $d$  roots. Thus, the total number of zeros is at most  $d \cdot M^{m-1}$ .

Thus, the total number of zeros for  $\phi$  is at most  $m \cdot d \cdot M^{m-1}$ . □

## Mathematical Preliminaries (contd.)

## Proof.

If  $m = 1$ , the theorem is trivially true, as per the fundamental theorem of algebra!

Assume true for  $m - 1$  variables. Rewrite  $\phi$  so that it is a polynomial in  $x_m$  with coefficients in  $\{x_1, x_2, \dots, x_{m-1}\}$ , i.e.,

$$\phi = (\phi_1(x_1, x_2, \dots, x_{m-1}))x_m^d + (\phi_2(x_1, x_2, \dots, x_{m-1}))x_m^{d-1} + \dots + (\phi_{d-1}(x_1, x_2, \dots, x_{m-1}))x_m^1 + (\phi_d(x_1, x_2, \dots, x_{m-1})).$$

Let  $\phi(z = \langle z_1, z_2, \dots, z_m \rangle) = 0$ .

Consider the following two cases:

- (i)  $\phi_1(z) = 0$ . This means that  $z$  is a root of  $\phi_1$  and by induction, there are at most  $(m - 1) \cdot d \cdot M^{m-2}$  of these. For each of the  $M$  possible values of  $x_m$ , the first term will be zero. The total number of such possibilities is  $(m - 1) \cdot d \cdot M^{m-2} \cdot M = (m - 1) \cdot d \cdot M^{m-1}$ .
- (ii)  $\phi_1(z) \neq 0$ . This means that  $\phi(z)$  defines a polynomial in  $x_m$  with degree at most  $d$ . Observe that for each combination of  $x_1, x_2, \dots, x_{m-1} \in \{0, 1, \dots, M - 1\}$ , the resultant polynomial has at most  $d$  roots. Thus, the total number of zeros is at most  $d \cdot M^{m-1}$ .

Thus, the total number of zeros for  $\phi$  is at most  $m \cdot d \cdot M^{m-1}$ . □

## Mathematical Preliminaries (contd.)

## Proof.

If  $m = 1$ , the theorem is trivially true, as per the fundamental theorem of algebra! Assume true for  $m - 1$  variables. Rewrite  $\phi$  so that it is a polynomial in  $x_m$  with coefficients in  $\{x_1, x_2, \dots, x_{m-1}\}$ , i.e.,

$$\phi = (\phi_1(x_1, x_2, \dots, x_{m-1}))x_m^d + (\phi_2(x_1, x_2, \dots, x_{m-1}))x_m^{d-1} + \dots + (\phi_{d-1}(x_1, x_2, \dots, x_{m-1}))x_m^1 + (\phi_d(x_1, x_2, \dots, x_{m-1})).$$

Let  $\phi(z = \langle z_1, z_2, \dots, z_m \rangle) = 0$ .

Consider the following two cases:

- (i)  $\phi_1(z) = 0$ . This means that  $z$  is a root of  $\phi_1$  and by induction, there are at most  $(m - 1) \cdot d \cdot M^{m-2}$  of these. For each of the  $M$  possible values of  $x_m$ , the first term will be zero. The total number of such possibilities is  $(m - 1) \cdot d \cdot M^{m-2} \cdot M = (m - 1) \cdot d \cdot M^{m-1}$ .
- (ii)  $\phi_1(z) \neq 0$ . This means that  $\phi(z)$  defines a polynomial in  $x_m$  with degree at most  $d$ . Observe that for each combination of  $x_1, x_2, \dots, x_{m-1} \in \{0, 1, \dots, M - 1\}$ , the resultant polynomial has at most  $d$  roots. Thus, the total number of zeros is at most  $d \cdot M^{m-1}$ .

Thus, the total number of zeros for  $\phi$  is at most  $m \cdot d \cdot M^{m-1}$ . □



## Mathematical Preliminaries (contd.)

## Proof.

If  $m = 1$ , the theorem is trivially true, as per the fundamental theorem of algebra! Assume true for  $m - 1$  variables. Rewrite  $\phi$  so that it is a polynomial in  $x_m$  with coefficients in  $\{x_1, x_2, \dots, x_{m-1}\}$ , i.e.,

$$\phi = (\phi_1(x_1, x_2, \dots, x_{m-1}))x_m^d + (\phi_2(x_1, x_2, \dots, x_{m-1}))x_m^{d-1} + \dots + (\phi_{d-1}(x_1, x_2, \dots, x_{m-1}))x_m^1 + (\phi_d(x_1, x_2, \dots, x_{m-1})).$$

Let  $\phi(z = \langle z_1, z_2, \dots, z_m \rangle) = 0$ .

Consider the following two cases:

- (i)  $\phi_1(z) = 0$ . This means that  $z$  is a root of  $\phi_1$  and by induction, there are at most  $(m - 1) \cdot d \cdot M^{m-2}$  of these. For each of the  $M$  possible values of  $x_m$ , the first term will be zero. The total number of such possibilities is  $(m - 1) \cdot d \cdot M^{m-2} \cdot M = (m - 1) \cdot d \cdot M^{m-1}$ .
- (ii)  $\phi_1(z) \neq 0$ . This means that  $\phi(z)$  defines a polynomial in  $x_m$  with degree at most  $d$ . Observe that for each combination of  $x_1, x_2, \dots, x_{m-1} \in \{0, 1, \dots, M - 1\}$ , the resultant polynomial has at most  $d$  roots. Thus, the total number of zeros is at most  $d \cdot M^{m-1}$ .

Thus, the total number of zeros for  $\phi$  is at most  $m \cdot d \cdot M^{m-1}$ . □

## Mathematical Preliminaries (contd.)

## Proof.

If  $m = 1$ , the theorem is trivially true, as per the fundamental theorem of algebra! Assume true for  $m - 1$  variables. Rewrite  $\phi$  so that it is a polynomial in  $x_m$  with coefficients in  $\{x_1, x_2, \dots, x_{m-1}\}$ , i.e.,

$$\phi = (\phi_1(x_1, x_2, \dots, x_{m-1}))x_m^d + (\phi_2(x_1, x_2, \dots, x_{m-1}))x_m^{d-1} + \dots + (\phi_{d-1}(x_1, x_2, \dots, x_{m-1}))x_m^1 + (\phi_d(x_1, x_2, \dots, x_{m-1})).$$

Let  $\phi(z = \langle z_1, z_2, \dots, z_m \rangle) = 0$ .

Consider the following two cases:

- (i)  $\phi_1(z) = 0$ . This means that  $z$  is a root of  $\phi_1$  and by induction, there are at most  $(m - 1) \cdot d \cdot M^{m-2}$  of these. For each of the  $M$  possible values of  $x_m$ , the first term will be zero. The total number of such possibilities is  $(m - 1) \cdot d \cdot M^{m-2} \cdot M = (m - 1) \cdot d \cdot M^{m-1}$ .
- (ii)  $\phi_1(z) \neq 0$ . This means that  $\phi(z)$  defines a polynomial in  $x_m$  with degree at most  $d$ . Observe that for each combination of  $x_1, x_2, \dots, x_{m-1} \in \{0, 1, \dots, M - 1\}$ , the resultant polynomial has at most  $d$  roots. Thus, the total number of zeros is at most  $d \cdot M^{m-1}$ .

Thus, the total number of zeros for  $\phi$  is at most  $m \cdot d \cdot M^{m-1}$ . □

## Mathematical Preliminaries (contd.)

## Proof.

If  $m = 1$ , the theorem is trivially true, as per the fundamental theorem of algebra! Assume true for  $m - 1$  variables. Rewrite  $\phi$  so that it is a polynomial in  $x_m$  with coefficients in  $\{x_1, x_2, \dots, x_{m-1}\}$ , i.e.,

$$\phi = (\phi_1(x_1, x_2, \dots, x_{m-1}))x_m^d + (\phi_2(x_1, x_2, \dots, x_{m-1}))x_m^{d-1} + \dots + (\phi_{d-1}(x_1, x_2, \dots, x_{m-1}))x_m^1 + (\phi_d(x_1, x_2, \dots, x_{m-1})).$$

Let  $\phi(z = \langle z_1, z_2, \dots, z_m \rangle) = 0$ .

Consider the following two cases:

- (i)  $\phi_1(z) = 0$ . This means that  $z$  is a root of  $\phi_1$  and by induction, there are at most  $(m - 1) \cdot d \cdot M^{m-2}$  of these. For each of the  $M$  possible values of  $x_m$ , the first term will be zero. The total number of such possibilities is  $(m - 1) \cdot d \cdot M^{m-2} \cdot M = (m - 1) \cdot d \cdot M^{m-1}$ .
- (ii)  $\phi_1(z) \neq 0$ . This means that  $\phi(z)$  defines a polynomial in  $x_m$  with degree at most  $d$ . Observe that for each combination of  $x_1, x_2, \dots, x_{m-1} \in \{0, 1, \dots, M - 1\}$ , the resultant polynomial has at most  $d$  roots. Thus, the total number of zeros is at most  $d \cdot M^{m-1}$ .

Thus, the total number of zeros for  $\phi$  is at most  $m \cdot d \cdot M^{m-1}$ . □

## Mathematical Preliminaries (contd.)

## Proof.

If  $m = 1$ , the theorem is trivially true, as per the fundamental theorem of algebra! Assume true for  $m - 1$  variables. Rewrite  $\phi$  so that it is a polynomial in  $x_m$  with coefficients in  $\{x_1, x_2, \dots, x_{m-1}\}$ , i.e.,

$$\phi = (\phi_1(x_1, x_2, \dots, x_{m-1}))x_m^d + (\phi_2(x_1, x_2, \dots, x_{m-1}))x_m^{d-1} + \dots + (\phi_{d-1}(x_1, x_2, \dots, x_{m-1}))x_m^1 + (\phi_d(x_1, x_2, \dots, x_{m-1})).$$

Let  $\phi(z = \langle z_1, z_2, \dots, z_m \rangle) = 0$ .

Consider the following two cases:

- (i)  $\phi_1(z) = 0$ . This means that  $z$  is a root of  $\phi_1$  and by induction, there are at most  $(m - 1) \cdot d \cdot M^{m-2}$  of these. For each of the  $M$  possible values of  $x_m$ , the first term will be zero. The total number of such possibilities is  $(m - 1) \cdot d \cdot M^{m-2} \cdot M = (m - 1) \cdot d \cdot M^{m-1}$ .
- (ii)  $\phi_1(z) \neq 0$ . This means that  $\phi(z)$  defines a polynomial in  $x_m$  with degree at most  $d$ . Observe that for each combination of  $x_1, x_2, \dots, x_{m-1} \in \{0, 1, \dots, M - 1\}$ , the resultant polynomial has at most  $d$  roots. Thus, the total number of zeros is at most  $d \cdot M^{m-1}$ .

Thus, the total number of zeros for  $\phi$  is at most  $m \cdot d \cdot M^{m-1}$ . □

## Mathematical Preliminaries (contd.)

## Proof.

If  $m = 1$ , the theorem is trivially true, as per the fundamental theorem of algebra! Assume true for  $m - 1$  variables. Rewrite  $\phi$  so that it is a polynomial in  $x_m$  with coefficients in  $\{x_1, x_2, \dots, x_{m-1}\}$ , i.e.,

$$\phi = (\phi_1(x_1, x_2, \dots, x_{m-1}))x_m^d + (\phi_2(x_1, x_2, \dots, x_{m-1}))x_m^{d-1} + \dots + (\phi_{d-1}(x_1, x_2, \dots, x_{m-1}))x_m^1 + (\phi_d(x_1, x_2, \dots, x_{m-1})).$$

Let  $\phi(z = \langle z_1, z_2, \dots, z_m \rangle) = 0$ .

Consider the following two cases:

- (i)  $\phi_1(z) = 0$ . This means that  $z$  is a root of  $\phi_1$  and by induction, there are at most  $(m - 1) \cdot d \cdot M^{m-2}$  of these. For each of the  $M$  possible values of  $x_m$ , the first term will be zero. The total number of such possibilities is  $(m - 1) \cdot d \cdot M^{m-2} \cdot M = (m - 1) \cdot d \cdot M^{m-1}$ .
- (ii)  $\phi_1(z) \neq 0$ . This means that  $\phi(z)$  defines a polynomial in  $x_m$  with degree at most  $d$ . Observe that for each combination of  $x_1, x_2, \dots, x_{m-1} \in \{0, 1, \dots, M - 1\}$ , the resultant polynomial has at most  $d$  roots. Thus, the total number of zeros is at most  $d \cdot M^{m-1}$ .

Thus, the total number of zeros for  $\phi$  is at most  $m \cdot d \cdot M^{m-1}$ . □

## Mathematical Preliminaries (contd.)

## Proof.

If  $m = 1$ , the theorem is trivially true, as per the fundamental theorem of algebra! Assume true for  $m - 1$  variables. Rewrite  $\phi$  so that it is a polynomial in  $x_m$  with coefficients in  $\{x_1, x_2, \dots, x_{m-1}\}$ , i.e.,

$$\phi = (\phi_1(x_1, x_2, \dots, x_{m-1}))x_m^d + (\phi_2(x_1, x_2, \dots, x_{m-1}))x_m^{d-1} + \dots + (\phi_{d-1}(x_1, x_2, \dots, x_{m-1}))x_m^1 + (\phi_d(x_1, x_2, \dots, x_{m-1})).$$

Let  $\phi(z = \langle z_1, z_2, \dots, z_m \rangle) = 0$ .

Consider the following two cases:

- (i)  $\phi_1(z) = 0$ . This means that  $z$  is a root of  $\phi_1$  and by induction, there are at most  $(m - 1) \cdot d \cdot M^{m-2}$  of these. For each of the  $M$  possible values of  $x_m$ , the first term will be zero. The total number of such possibilities is  $(m - 1) \cdot d \cdot M^{m-2} \cdot M = (m - 1) \cdot d \cdot M^{m-1}$ .
- (ii)  $\phi_1(z) \neq 0$ . This means that  $\phi(z)$  defines a polynomial in  $x_m$  with degree at most  $d$ . Observe that for each combination of  $x_1, x_2, \dots, x_{m-1} \in \{0, 1, \dots, M - 1\}$ , the resultant polynomial has at most  $d$  roots. Thus, the total number of zeros is at most  $d \cdot M^{m-1}$ .

Thus, the total number of zeros for  $\phi$  is at most  $m \cdot d \cdot M^{m-1}$ . □

## Mathematical Preliminaries (contd.)

## Proof.

If  $m = 1$ , the theorem is trivially true, as per the fundamental theorem of algebra! Assume true for  $m - 1$  variables. Rewrite  $\phi$  so that it is a polynomial in  $x_m$  with coefficients in  $\{x_1, x_2, \dots, x_{m-1}\}$ , i.e.,

$$\phi = (\phi_1(x_1, x_2, \dots, x_{m-1}))x_m^d + (\phi_2(x_1, x_2, \dots, x_{m-1}))x_m^{d-1} + \dots + (\phi_{d-1}(x_1, x_2, \dots, x_{m-1}))x_m^1 + (\phi_d(x_1, x_2, \dots, x_{m-1})).$$

Let  $\phi(z = \langle z_1, z_2, \dots, z_m \rangle) = 0$ .

Consider the following two cases:

- (i)  $\phi_1(z) = 0$ . This means that  $z$  is a root of  $\phi_1$  and by induction, there are at most  $(m - 1) \cdot d \cdot M^{m-2}$  of these. For each of the  $M$  possible values of  $x_m$ , the first term will be zero. The total number of such possibilities is  $(m - 1) \cdot d \cdot M^{m-2} \cdot M = (m - 1) \cdot d \cdot M^{m-1}$ .
- (ii)  $\phi_1(z) \neq 0$ . This means that  $\phi(z)$  defines a polynomial in  $x_m$  with degree at most  $d$ . Observe that for each combination of  $x_1, x_2, \dots, x_{m-1} \in \{0, 1, \dots, M - 1\}$ , the resultant polynomial has at most  $d$  roots. Thus, the total number of zeros is at most  $d \cdot M^{m-1}$ .

Thus, the total number of zeros for  $\phi$  is at most  $m \cdot d \cdot M^{m-1}$ . □

## The Non-Singularity Checking Algorithm

### Function NON-SING CHECK(**A**)

- 1: Generate  $m$  random integers between 0 and  $M = 2md$ .
- 2: Compute the resultant determinant of the numeric matrix  $\mathbf{A}'$  substituting these integers into the symbolic matrix  $\mathbf{A}$ .
- 3: **if** ( $|\mathbf{A}'| \neq 0$ ) **then**
- 4:    $\mathbf{A}$  is not singular.
- 5: **else**
- 6:    $\mathbf{A}$  is probably singular.
- 7: **end if**

**Algorithm 2.9:** The Non-Singularity Checking Algorithm



## The Non-Singularity Checking Algorithm

### Function NON-SING CHECK(**A**)

- 1: Generate  $m$  random integers between 0 and  $M = 2md$ .
- 2: Compute the resultant determinant of the numeric matrix  $\mathbf{A}'$  substituting these integers into the symbolic matrix  $\mathbf{A}$ .
- 3: **if** ( $|\mathbf{A}'| \neq 0$ ) **then**
- 4:    $\mathbf{A}$  is not singular.
- 5: **else**
- 6:    $\mathbf{A}$  is probably singular.
- 7: **end if**

**Algorithm 2.10:** The Non-Singularity Checking Algorithm

## The Non-Singularity Checking Algorithm

### Function NON-SING CHECK(**A**)

- 1: Generate  $m$  random integers between 0 and  $M = 2md$ .
- 2: Compute the resultant determinant of the numeric matrix  $\mathbf{A}'$  substituting these integers into the symbolic matrix  $\mathbf{A}$ .
- 3: **if** ( $|\mathbf{A}'| \neq 0$ ) **then**
- 4:      $\mathbf{A}$  is not singular.
- 5: **else**
- 6:      $\mathbf{A}$  is probably singular.
- 7: **end if**

**Algorithm 2.11:** The Non-Singularity Checking Algorithm

# Analysis

## Error bound

The probability that Algorithm 2.9 declares that a non-singular matrix is singular is precisely  $\frac{m \cdot d \cdot (2 \cdot m \cdot d)^{m-1}}{(2md)^m} = \frac{1}{2}$ .

Complexity of non-singularity checking in symbolic matrices

Not only is this problem not known to be in  $\mathbf{P}$ , it is rather unlikely that it will be.

# Analysis

## Error bound

The probability that Algorithm 2.9 declares that a non-singular matrix is singular is precisely  $\frac{m \cdot d \cdot (2 \cdot m \cdot d)^{m-1}}{(2md)^m} = \frac{1}{2}$ .

Complexity of non-singularity checking in symbolic matrices

Not only is this problem not known to be in  $\mathbf{P}$ , it is rather unlikely that it will be.

# Analysis

## Error bound

The probability that Algorithm 2.9 declares that a non-singular matrix is singular is precisely  $\frac{m \cdot d \cdot (2 \cdot m \cdot d)^{m-1}}{(2md)^m} = \frac{1}{2}$ .

## Complexity of non-singularity checking in symbolic matrices

Not only is this problem not known to be in **P**, it is rather unlikely that it will be.

## Randomized Complexity Classes

## Note

The following definitions are from [1].

## Definition

The class **RP** consists of all languages  $L \subseteq \Sigma^*$  that have a randomized algorithm  $\mathcal{A}$  running in worst-case polynomial time, such that for any input  $x \in \Sigma^*$ ,

- $x \in L \Rightarrow \Pr[\mathcal{A}(x)] = \text{"yes"} \geq \frac{1}{2}$ .
- $x \notin L \Rightarrow \Pr[\mathcal{A}(x)] = \text{"yes"} = 0$ .

## Observations

- Rejection is unanimous, acceptance is by majority.*
- Only positive-sided error is allowed.*
- The number  $\frac{1}{2}$  can be any fixed constant between 0 and 1, without affecting the set of languages in **RP**.*
- The three paradigmatic problems are in **RP**.*

## Randomized Complexity Classes

## Note

The following definitions are from [1].

## Definition

The class **RP** consists of all languages  $L \subseteq \Sigma^*$  that have a randomized algorithm  $\mathcal{A}$  running in worst-case polynomial time, such that for any input  $x \in \Sigma^*$ ,

- $x \in L \Rightarrow \Pr[\mathcal{A}(x)] = \text{"yes''} \geq \frac{1}{2}$ .
- $x \notin L \Rightarrow \Pr[\mathcal{A}(x)] = \text{"yes''} = 0$ .

## Observations

- (i) *Rejection is unanimous, acceptance is by majority.*
- (ii) *Only positive-sided error is allowed.*
- (iii) *The number  $\frac{1}{2}$  can be any fixed constant between 0 and 1, without affecting the set of languages in **RP**.*
- (iv) *The three paradigmatic problems are in **RP**.*

## Randomized Complexity Classes

## Note

The following definitions are from [1].

## Definition

The class **RP** consists of all languages  $L \subseteq \Sigma^*$  that have a randomized algorithm  $\mathcal{A}$  running in worst-case polynomial time, such that for any input  $x \in \Sigma^*$ ,

- $x \in L \Rightarrow \Pr[\mathcal{A}(x)] = \text{"yes''} \geq \frac{1}{2}$ .
- $x \notin L \Rightarrow \Pr[\mathcal{A}(x)] = \text{"yes''} = 0$ .

## Observations

- (i) *Rejection is unanimous, acceptance is by majority.*
- (ii) *Only positive-sided error is allowed.*
- (iii) *The number  $\frac{1}{2}$  can be any fixed constant between 0 and 1, without affecting the set of languages in **RP**.*
- (iv) *The three paradigmatic problems are in **RP**.*



## Randomized Complexity Classes

## Note

The following definitions are from [1].

## Definition

The class **RP** consists of all languages  $L \subseteq \Sigma^*$  that have a randomized algorithm  $\mathcal{A}$  running in worst-case polynomial time, such that for any input  $x \in \Sigma^*$ ,

- $x \in L \Rightarrow \Pr[\mathcal{A}(x)] = \text{"yes''} \geq \frac{1}{2}$ .
- $x \notin L \Rightarrow \Pr[\mathcal{A}(x)] = \text{"yes''} = 0$ .

## Observations

- Rejection is unanimous, acceptance is by majority.*
- Only positive-sided error is allowed.*
- The number  $\frac{1}{2}$  can be any fixed constant between 0 and 1, without affecting the set of languages in **RP**.*
- The three paradigmatic problems are in **RP**.*

## Randomized Complexity Classes

*Note*

The following definitions are from [1].

*Definition*

The class **RP** consists of all languages  $L \subseteq \Sigma^*$  that have a randomized algorithm  $\mathcal{A}$  running in worst-case polynomial time, such that for any input  $x \in \Sigma^*$ ,

- $x \in L \Rightarrow \Pr[\mathcal{A}(x)] = \text{"yes''} \geq \frac{1}{2}$ .
- $x \notin L \Rightarrow \Pr[\mathcal{A}(x)] = \text{"yes''} = 0$ .

*Observations*

- (i) *Rejection is unanimous, acceptance is by majority.*
- (ii) *Only positive-sided error is allowed.*
- (iii) *The number  $\frac{1}{2}$  can be any fixed constant between 0 and 1, without affecting the set of languages in **RP**.*
- (iv) *The three paradigmatic problems are in **RP**.*

## Randomized Complexity Classes (contd.)

### Definition

A language  $L \subseteq \Sigma^*$  is in **coRP**, if its complement is in **RP**.

### Definition

A language  $L \subseteq \Sigma^*$  is in **ZPP** if it is in  $\mathbf{RP} \cap \mathbf{coRP}$ .

### Definition

A language  $L \subseteq \Sigma^*$  is in **PP**, if there exists a randomized algorithm  $\mathcal{A}$  running in worst-case polynomial time, such that for any input  $x \in \Sigma^*$ ,

- $x \in L \Rightarrow \Pr[\mathcal{A}(x)] = \text{"yes"} > \frac{1}{2}$ .
- $x \notin L \Rightarrow \Pr[\mathcal{A}(x)] = \text{"yes"} < \frac{1}{2}$ .

### Note

*The problem MAJSAT is defined as follows: Given a formula in CNF, is it the case that the majority of the  $2^n$  assignments satisfy it? MAJSAT is the quintessential **PP** problem; in fact, it is **PP-complete**.*

## Randomized Complexity Classes (contd.)

### Definition

A language  $L \subseteq \Sigma^*$  is in **coRP**, if its complement is in **RP**.

### Definition

A language  $L \subseteq \Sigma^*$  is in **ZPP** if it is in **RP**  $\cap$  **coRP**.

### Definition

A language  $L \subseteq \Sigma^*$  is in **PP**, if there exists a randomized algorithm  $\mathcal{A}$  running in worst-case polynomial time, such that for any input  $x \in \Sigma^*$ ,

- $x \in L \Rightarrow \Pr[\mathcal{A}(x)] = \text{"yes"} > \frac{1}{2}$ .
- $x \notin L \Rightarrow \Pr[\mathcal{A}(x)] = \text{"yes"} < \frac{1}{2}$ .

### Note

*The problem MAJSAT is defined as follows: Given a formula in CNF, is it the case that the majority of the  $2^n$  assignments satisfy it? MAJSAT is the quintessential **PP** problem; in fact, it is **PP-complete**.*

## Randomized Complexity Classes (contd.)

### Definition

A language  $L \subseteq \Sigma^*$  is in **coRP**, if its complement is in **RP**.

### Definition

A language  $L \subseteq \Sigma^*$  is in **ZPP** if it is in  $\mathbf{RP} \cap \mathbf{coRP}$ .

### Definition

A language  $L \subseteq \Sigma^*$  is in **PP**, if there exists a randomized algorithm  $\mathcal{A}$  running in worst-case polynomial time, such that for any input  $x \in \Sigma^*$ ,

- $x \in L \Rightarrow \Pr[\mathcal{A}(x)] = \text{"yes"} > \frac{1}{2}$ .
- $x \notin L \Rightarrow \Pr[\mathcal{A}(x)] = \text{"yes"} < \frac{1}{2}$ .

### Note

*The problem MAJSAT is defined as follows: Given a formula in CNF, is it the case that the majority of the  $2^n$  assignments satisfy it? MAJSAT is the quintessential **PP** problem; in fact, it is **PP-complete**.*

## Randomized Complexity Classes (contd.)

### Definition

A language  $L \subseteq \Sigma^*$  is in **coRP**, if its complement is in **RP**.

### Definition

A language  $L \subseteq \Sigma^*$  is in **ZPP** if it is in  $\mathbf{RP} \cap \mathbf{coRP}$ .

### Definition

A language  $L \subseteq \Sigma^*$  is in **PP**, if there exists a randomized algorithm  $\mathcal{A}$  running in worst-case polynomial time, such that for any input  $x \in \Sigma^*$ ,

- $x \in L \Rightarrow \Pr[\mathcal{A}(x)] = \text{"yes"} > \frac{1}{2}$ .
- $x \notin L \Rightarrow \Pr[\mathcal{A}(x)] = \text{"yes"} < \frac{1}{2}$ .

### Note

*The problem MAJSAT is defined as follows: Given a formula in CNF, is it the case that the majority of the  $2^n$  assignments satisfy it? MAJSAT is the quintessential **PP** problem; in fact, it is **PP-complete**.*

## Randomized Complexity Classes (contd.)

### Definition

A language  $L \subseteq \Sigma^*$  is in **coRP**, if its complement is in **RP**.

### Definition

A language  $L \subseteq \Sigma^*$  is in **ZPP** if it is in  $\mathbf{RP} \cap \mathbf{coRP}$ .

### Definition

A language  $L \subseteq \Sigma^*$  is in **PP**, if there exists a randomized algorithm  $\mathcal{A}$  running in worst-case polynomial time, such that for any input  $x \in \Sigma^*$ ,

- $x \in L \Rightarrow \Pr[\mathcal{A}(x)] = \text{"yes"} > \frac{1}{2}$ .
- $x \notin L \Rightarrow \Pr[\mathcal{A}(x)] = \text{"yes"} < \frac{1}{2}$ .

### Note

*The problem MAJSAT is defined as follows: Given a formula in CNF, is it the case that the majority of the  $2^n$  assignments satisfy it? MAJSAT is the quintessential PP problem; in fact, it is PP-complete.*

## Randomized Complexity Classes (contd.)

### Definition

A language  $L \subseteq \Sigma^*$  is in **coRP**, if its complement is in **RP**.

### Definition

A language  $L \subseteq \Sigma^*$  is in **ZPP** if it is in  $\mathbf{RP} \cap \mathbf{coRP}$ .

### Definition

A language  $L \subseteq \Sigma^*$  is in **PP**, if there exists a randomized algorithm  $\mathcal{A}$  running in worst-case polynomial time, such that for any input  $x \in \Sigma^*$ ,

- $x \in L \Rightarrow \Pr[\mathcal{A}(x)] = \text{"yes"} > \frac{1}{2}$ .
- $x \notin L \Rightarrow \Pr[\mathcal{A}(x)] = \text{"yes"} < \frac{1}{2}$ .

### Note

*The problem  $\text{MAJSAT}$  is defined as follows: Given a formula in CNF, is it the case that the majority of the  $2^n$  assignments satisfy it?  $\text{MAJSAT}$  is the quintessential **PP** problem; in fact, it is **PP-complete**.*



## Randomized Complexity Classes (contd.)

## Definition

A language  $L \subseteq \Sigma^*$  is in **BPP**, if there exists a randomized algorithm  $\mathcal{A}$  running in worst-case polynomial time, such that for any input  $x \in \Sigma^*$ ,

- $x \in L \Rightarrow \Pr[\mathcal{A}(x) = \text{"yes"}] \geq \frac{3}{4}$ .
- $x \notin L \Rightarrow \Pr[\mathcal{A}(x) = \text{"yes"}] \leq \frac{1}{4}$ .

## Alternative view of RP

**RP** denotes the set of languages  $L$  which can be decided by a polynomially bounded non-deterministic Turing machine  $N$  in the following manner: For each input  $x$ , if  $x \in L$ , then at least half the computations of  $N$  on  $x$  end in accepting leaves and if  $x \notin L$ , the all computations of  $N$  on  $x$  end in rejecting leaves. Without loss of generality, we may assume that the degree of non-determinism is exactly 2 at each node of the computation tree.

## Randomized Complexity Classes (contd.)

## Definition

A language  $L \subseteq \Sigma^*$  is in **BPP**, if there exists a randomized algorithm  $\mathcal{A}$  running in worst-case polynomial time, such that for any input  $x \in \Sigma^*$ ,

- $x \in L \Rightarrow \Pr[\mathcal{A}(x) = \text{"yes"}] \geq \frac{3}{4}$ .
- $x \notin L \Rightarrow \Pr[\mathcal{A}(x) = \text{"yes"}] \leq \frac{1}{4}$ .

## Alternative view of RP

**RP** denotes the set of languages  $L$  which can be decided by a polynomially bounded non-deterministic Turing machine  $N$  in the following manner: For each input  $x$ , if  $x \in L$ , then at least half the computations of  $N$  on  $x$  end in accepting leaves and if  $x \notin L$ , the all computations of  $N$  on  $x$  end in rejecting leaves. Without loss of generality, we may assume that the degree of non-determinism is exactly 2 at each node of the computation tree.

## Randomized Complexity Classes (contd.)

## Definition

A language  $L \subseteq \Sigma^*$  is in **BPP**, if there exists a randomized algorithm  $\mathcal{A}$  running in worst-case polynomial time, such that for any input  $x \in \Sigma^*$ ,

- $x \in L \Rightarrow \Pr[\mathcal{A}(x) = \text{"yes"}] \geq \frac{3}{4}$ .
- $x \notin L \Rightarrow \Pr[\mathcal{A}(x) = \text{"yes"}] \leq \frac{1}{4}$ .

Alternative view of **RP**

**RP** denotes the set of languages  $L$  which can be decided by a polynomially bounded non-deterministic Turing machine  $N$  in the following manner: For each input  $x$ , if  $x \in L$ , then at least half the computations of  $N$  on  $x$  end in accepting leaves and if  $x \notin L$ , the all computations of  $N$  on  $x$  end in rejecting leaves. Without loss of generality, we may assume that the degree of non-determinism is exactly 2 at each node of the computation tree.

## Randomized Complexity Classes (contd.)

## Definition

A language  $L \subseteq \Sigma^*$  is in **BPP**, if there exists a randomized algorithm  $\mathcal{A}$  running in worst-case polynomial time, such that for any input  $x \in \Sigma^*$ ,

- $x \in L \Rightarrow \Pr[\mathcal{A}(x) = \text{"yes"}] \geq \frac{3}{4}$ .
- $x \notin L \Rightarrow \Pr[\mathcal{A}(x) = \text{"yes"}] \leq \frac{1}{4}$ .

Alternative view of **RP**

**RP** denotes the set of languages  $L$  which can be decided by a polynomially bounded non-deterministic Turing machine  $N$  in the following manner: For each input  $x$ , if  $x \in L$ , then at least half the computations of  $N$  on  $x$  end in accepting leaves and if  $x \notin L$ , the all computations of  $N$  on  $x$  end in rejecting leaves. Without loss of generality, we may assume that the degree of non-determinism is exactly 2 at each node of the computation tree.

## Relations between complexity classes

### Observations

- (i)  $P \subseteq RP \subseteq NP$ .
- (ii)  $P \subseteq \text{coRP} \subseteq \text{coNP}$ .
- (iii)  $RP \subseteq BPP \subseteq PP$ .

### Theorem

$NP \subseteq PP$ .

### Proof.

Let  $L$  be accepted by an NDTM  $N$  in polynomial time  $p()$ .  
Build an NDTM  $N'$  which contains a new initial state, with branching factor 2. One branch moves to  $N$  and the other branch which has exactly the same number of computations as  $N$  leads only to leaves which are all "accepting". If  $x \in L$ ,  $N'$  accepts with clear majority! If  $x \notin L$ , then  $N(x')$  does not have a clear majority of accepting computations and hence  $N'$  rejects. □

## Relations between complexity classes

### Observations

- (i)  $P \subseteq RP \subseteq NP$ .
- (ii)  $P \subseteq \text{coRP} \subseteq \text{coNP}$ .
- (iii)  $RP \subseteq \text{BPP} \subseteq PP$ .

### Theorem

$NP \subseteq PP$ .

### Proof.

Let  $L$  be accepted by an NDTM  $N$  in polynomial time  $p()$ .  
Build an NDTM  $N'$  which contains a new initial state, with branching factor 2. One branch moves to  $N$  and the other branch which has exactly the same number of computations as  $N$  leads only to leaves which are all "accepting". If  $x \in L$ ,  $N'$  accepts with clear majority! If  $x \notin L$ , then  $N(x')$  does not have a clear majority of accepting computations and hence  $N'$  rejects.  $\square$

## Relations between complexity classes

### Observations

- (i)  $\mathbf{P} \subseteq \mathbf{RP} \subseteq \mathbf{NP}$ .
- (ii)  $\mathbf{P} \subseteq \mathbf{coRP} \subseteq \mathbf{coNP}$ .
- (iii)  $\mathbf{RP} \subseteq \mathbf{BPP} \subseteq \mathbf{PP}$ .

### Theorem

$\mathbf{NP} \subseteq \mathbf{PP}$ .

### Proof.

Let  $L$  be accepted by an NDTM  $N$  in polynomial time  $p(\cdot)$ .  
Build an NDTM  $N'$  which contains a new initial state, with branching factor 2. One branch moves to  $N$  and the other branch which has exactly the same number of computations as  $N$  leads only to leaves which are all "accepting". If  $x \in L$ ,  $N'$  accepts with clear majority! If  $x \notin L$ , then  $N(x')$  does not have a clear majority of accepting computations and hence  $N'$  rejects.  $\square$

## Relations between complexity classes

### Observations

- (i)  $\mathbf{P} \subseteq \mathbf{RP} \subseteq \mathbf{NP}$ .
- (ii)  $\mathbf{P} \subseteq \mathbf{coRP} \subseteq \mathbf{coNP}$ .
- (iii)  $\mathbf{RP} \subseteq \mathbf{BPP} \subseteq \mathbf{PP}$ .

### Theorem

$\mathbf{NP} \subseteq \mathbf{PP}$ .

### Proof.

Let  $L$  be accepted by an NDTM  $N$  in polynomial time  $p()$ .  
Build an NDTM  $N'$  which contains a new initial state, with branching factor 2. One branch moves to  $N$  and the other branch which has exactly the same number of computations as  $N$  leads only to leaves which are all "accepting". If  $x \in L$ ,  $N'$  accepts with clear majority! If  $x \notin L$ , then  $N(x')$  does not have a clear majority of accepting computations and hence  $N'$  rejects.  $\square$



## Relations between complexity classes

### Observations

- (i)  $P \subseteq RP \subseteq NP$ .
- (ii)  $P \subseteq \text{coRP} \subseteq \text{coNP}$ .
- (iii)  $RP \subseteq \text{BPP} \subseteq PP$ .

### Theorem

$NP \subseteq PP$ .

### Proof.

Let  $L$  be accepted by an NDTM  $N$  in polynomial time  $p()$ .  
Build an NDTM  $N'$  which contains a new initial state, with branching factor 2. One branch moves to  $N$  and the other branch which has exactly the same number of computations as  $N$  leads only to leaves which are all "accepting". If  $x \in L$ ,  $N'$  accepts with clear majority! If  $x \notin L$ , then  $N(x')$  does not have a clear majority of accepting computations and hence  $N'$  rejects.  $\square$

## Relations between complexity classes

### Observations

- (i)  $P \subseteq RP \subseteq NP$ .
- (ii)  $P \subseteq \text{coRP} \subseteq \text{coNP}$ .
- (iii)  $RP \subseteq \text{BPP} \subseteq PP$ .

### Theorem

$NP \subseteq PP$ .

### Proof.

Let  $L$  be accepted by an NDTM  $N$  in polynomial time  $p()$ .  
Build an NDTM  $N'$  which contains a new initial state, with branching factor 2. One branch moves to  $N$  and the other branch which has exactly the same number of computations as  $N$  leads only to leaves which are all "accepting". If  $x \in L$ ,  $N'$  accepts with clear majority! If  $x \notin L$ , then  $N(x')$  does not have a clear majority of accepting computations and hence  $N'$  rejects. □

## Relations between complexity classes

### Observations

- (i)  $P \subseteq RP \subseteq NP$ .
- (ii)  $P \subseteq \text{coRP} \subseteq \text{coNP}$ .
- (iii)  $RP \subseteq \text{BPP} \subseteq PP$ .

### Theorem

$NP \subseteq PP$ .

### Proof.

Let  $L$  be accepted by an NDTM  $N$  in polynomial time  $p()$ .  
Build an NDTM  $N'$  which contains a new initial state, with branching factor 2. One branch moves to  $N$  and the other branch which has exactly the same number of computations as  $N$  leads only to leaves which are all “accepting”. If  $x \in L$ ,  $N'$  accepts with clear majority! If  $x \notin L$ , then  $N(x')$  does not have a clear majority of accepting computations and hence  $N'$  rejects. □

## Relations between complexity classes

### Observations

- (i)  $P \subseteq RP \subseteq NP$ .
- (ii)  $P \subseteq \text{coRP} \subseteq \text{coNP}$ .
- (iii)  $RP \subseteq \text{BPP} \subseteq PP$ .

### Theorem

$NP \subseteq PP$ .

### Proof.

Let  $L$  be accepted by an NDTM  $N$  in polynomial time  $p()$ .  
Build an NDTM  $N'$  which contains a new initial state, with branching factor 2. One branch moves to  $N$  and the other branch which has exactly the same number of computations as  $N$  leads only to leaves which are all “accepting”. If  $x \in L$ ,  $N'$  accepts with clear majority! If  $x \notin L$ , then  $N(x')$  does not have a clear majority of accepting computations and hence  $N'$  rejects. □

## Relations between complexity classes

### Observations

- (i)  $P \subseteq RP \subseteq NP$ .
- (ii)  $P \subseteq \text{coRP} \subseteq \text{coNP}$ .
- (iii)  $RP \subseteq \text{BPP} \subseteq PP$ .

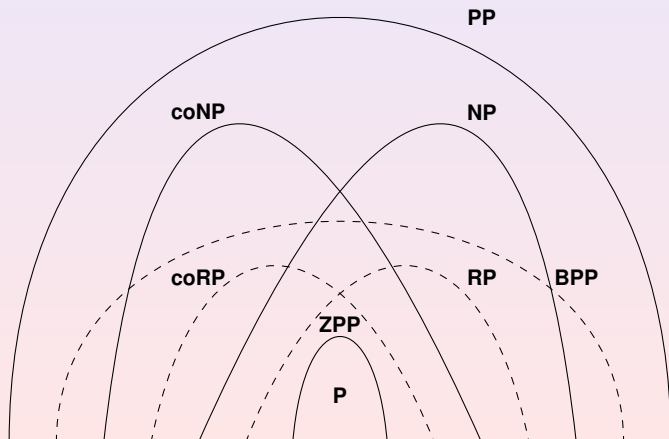
### Theorem

$NP \subseteq PP$ .

### Proof.

Let  $L$  be accepted by an NDTM  $N$  in polynomial time  $p()$ . Build an NDTM  $N'$  which contains a new initial state, with branching factor 2. One branch moves to  $N$  and the other branch which has exactly the same number of computations as  $N$  leads only to leaves which are all “accepting”. If  $x \in L$ ,  $N'$  accepts with clear majority! If  $x \notin L$ , then  $N(x')$  does not have a clear majority of accepting computations and hence  $N'$  rejects.  $\square$

# The Complexity Picture





Rajeev Motwani and Prabhakar Raghavan.

*Randomized Algorithms.*

Cambridge University Press, Cambridge, England, June 1995.