Recurrence Relations

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18 January, 2011

Outline

Recurrences

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Recurrences

Solving Recurrences



Examples

(i)

$$S(1) = 2$$

 $S(n) = 2 \cdot S(n-1), n \ge 2.$

Examples

(i)

$$S(1) = 2$$

 $S(n) = 2 \cdot S(n-1), n \ge 2.$

(ii)

$$T(1) = 1$$

 $T(n) = T(n-1) + 3, n \ge 2.$

Examples

(i)

$$S(1) = 2$$

 $S(n) = 2 \cdot S(n-1), n \ge 2.$

(ii)

$$T(1) = 1$$

 $T(n) = T(n-1) + 3, n \ge 2.$

(iii)

$$F(1) = 1$$

 $F(2) = 1$
 $F(n) = F(n-1) + F(n-2), n \ge 3$

Three methods

Three methods

(i) Expand-Guess-Verify (EGV).

Three methods

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- (ii) Formula.

Three methods

- (i) Expand-Guess-Verify (EGV).
- (ii) Formula.
- (iii) Recursion Tree.

$$S(1) = 2$$

 $S(n) = 2 \cdot S(n-1), n \ge 2.$

$$S(1) = 2$$

$$S(n) = 2 \cdot S(n-1), n \geq 2.$$

(i) Expand:
$$S(1) = 2$$
,

$$S(1) = 2$$

 $S(n) = 2 \cdot S(n-1), n \ge 2.$

(i) Expand:
$$S(1) = 2$$
, $S(2) = 2 \cdot 2 = 4$,

$$S(1) = 2$$

 $S(n) = 2 \cdot S(n-1), n \ge 2.$

(i) Expand:
$$S(1) = 2$$
, $S(2) = 2 \cdot 2 = 4$, $S(3) = 2 \cdot S(2) = 8$,

$$S(1) = 2$$

 $S(n) = 2 \cdot S(n-1), n \ge 2.$

(i) Expand:
$$S(1) = 2$$
, $S(2) = 2 \cdot 2 = 4$, $S(3) = 2 \cdot S(2) = 8$,

$$S(1) = 2$$

 $S(n) = 2 \cdot S(n-1), n \ge 2.$

(i) Expand:
$$S(1) = 2$$
, $S(2) = 2 \cdot 2 = 4$, $S(3) = 2 \cdot S(2) = 8$,

(ii) Guess:
$$S(n) = 2^n$$

$$S(1) = 2$$

 $S(n) = 2 \cdot S(n-1), n \ge 2.$

- (i) Expand: S(1) = 2, $S(2) = 2 \cdot 2 = 4$, $S(3) = 2 \cdot S(2) = 8$,
- (ii) Guess: $S(n) = 2^n$
- (iii) Verify:

$$S(1) = 2$$

 $S(n) = 2 \cdot S(n-1), n \ge 2.$

- (i) Expand: S(1) = 2, $S(2) = 2 \cdot 2 = 4$, $S(3) = 2 \cdot S(2) = 8$,
- (ii) Guess: $S(n) = 2^n$
- (iii) Verify: Using Induction!

$$S(1) = 2$$

 $S(n) = 2 \cdot S(n-1), n > 2.$

(i) Expand:
$$S(1) = 2$$
, $S(2) = 2 \cdot 2 = 4$, $S(3) = 2 \cdot S(2) = 8$,

- (ii) Guess: $S(n) = 2^n$
- (iii) Verify: Using Induction! BASIS: n = 1

$$S(1) = 2$$

 $S(n) = 2 \cdot S(n-1), n \ge 2.$

- (i) Expand: S(1) = 2, $S(2) = 2 \cdot 2 = 4$, $S(3) = 2 \cdot S(2) = 8$,
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- (iii) Verify: Using Induction! BASIS: n = 1

$$LHS = 2$$

$$S(1) = 2$$

 $S(n) = 2 \cdot S(n-1), n > 2.$

- (i) Expand: S(1) = 2, $S(2) = 2 \cdot 2 = 4$, $S(3) = 2 \cdot S(2) = 8$,
- (ii) Guess: $S(n) = 2^n$
- (iii) Verify: Using Induction! BASIS: n = 1

$$LHS = 2$$

$$RHS = 2^{1}$$

$$S(1) = 2$$

 $S(n) = 2 \cdot S(n-1), n \ge 2.$

- (i) Expand: S(1) = 2, $S(2) = 2 \cdot 2 = 4$, $S(3) = 2 \cdot S(2) = 8$,
- (ii) Guess: $S(n) = 2^n$
- (iii) Verify: Using Induction! BASIS: n = 1

$$LHS = 2$$

$$RHS = 2^{1}$$

$$= 2$$

Consider the recurrence:

$$S(1) = 2$$

 $S(n) = 2 \cdot S(n-1), n \ge 2.$

- (i) Expand: S(1) = 2, $S(2) = 2 \cdot 2 = 4$, $S(3) = 2 \cdot S(2) = 8$,
- (ii) Guess: $S(n) = 2^n$
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 BASIS: n = 1

$$LHS = 2$$

$$RHS = 2^{1}$$

$$= 2$$

Since LHS=RHS, the basis is proven.

Consider the recurrence:

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 $S(n) = 2 \cdot S(n-1), n \ge 2.$

- (i) Expand: S(1) = 2, $S(2) = 2 \cdot 2 = 4$, $S(3) = 2 \cdot S(2) = 8$,
- (ii) Guess: $S(n) = 2^n$
- (iii) Verify: Using Induction! BASIS: n = 1

$$LHS = 2$$

$$RHS = 2^{1}$$

$$= 2$$

Since LHS=RHS, the basis is proven. INDUCTIVE STEP: Assume that $S(k) = 2^k$.

Consider the recurrence:

$$S(1) = 2$$

 $S(n) = 2 \cdot S(n-1), n \ge 2.$

- (i) Expand: S(1) = 2, $S(2) = 2 \cdot 2 = 4$, $S(3) = 2 \cdot S(2) = 8$,
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- (ii) Guess: $S(n) = 2^n$
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$$LHS = 2$$

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$$= 2$$

Since LHS=RHS, the basis is proven.

Consider the recurrence:

$$S(1) = 2$$

 $S(n) = 2 \cdot S(n-1), n > 2.$

- (i) Expand: S(1) = 2, $S(2) = 2 \cdot 2 = 4$, $S(3) = 2 \cdot S(2) = 8$,
- (ii) Guess: $S(n) = 2^n$
- (iii) Verify: Using Induction! BASIS: n = 1

$$LHS = 2$$

$$RHS = 2^{1}$$

$$= 2$$

Since LHS=RHS, the basis is proven.

$$S(k+1) =$$

Consider the recurrence:

$$S(1) = 2$$

 $S(n) = 2 \cdot S(n-1), n > 2.$

- (i) Expand: S(1) = 2, $S(2) = 2 \cdot 2 = 4$, $S(3) = 2 \cdot S(2) = 8$,
- (ii) Guess: $S(n) = 2^n$
- (iii) Verify: Using Induction! BASIS: n = 1

$$LHS = 2$$

$$RHS = 2^{1}$$

$$= 2$$

Since LHS=RHS, the basis is proven.

$$S(k+1) = 2 \cdot S(k)$$
, by definition

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- (ii) Guess: $S(n) = 2^n$
- (iii) Verify: Using Induction! BASIS: n = 1

$$LHS = 2$$

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Since LHS=RHS, the basis is proven.

$$S(k+1)$$
 = $2 \cdot S(k)$, by definition
 = $2 \cdot 2^k$, by inductive hypothesis

Consider the recurrence:

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 $S(n) = 2 \cdot S(n-1), n > 2.$

- (i) Expand: S(1) = 2, $S(2) = 2 \cdot 2 = 4$, $S(3) = 2 \cdot S(2) = 8$,
- (ii) Guess: $S(n) = 2^n$
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Since LHS=RHS, the basis is proven.

$$S(k+1)$$
 = $2 \cdot S(k)$, by definition
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 = 2^{k+1} !

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- (i) Expand: S(1) = 2, $S(2) = 2 \cdot 2 = 4$, $S(3) = 2 \cdot S(2) = 8$,
- (ii) Guess: $S(n) = 2^n$
- (iii) Verify: Using Induction! BASIS: n = 1

$$LHS = 2$$

$$RHS = 2^{1}$$

$$= 2$$

Since LHS=RHS, the basis is proven.

INDUCTIVE STEP: Assume that $S(k) = 2^k$. We need to show that $S(k+1) = 2^{k+1}$. Observe that,

$$S(k+1)$$
 = $2 \cdot S(k)$, by definition
 = $2 \cdot 2^k$, by inductive hypothesis
 = 2^{k+1} !

Applying the first principle of mathematical induction, we conclude that $S(n) = 2^n$.

EGV (contd.)

Example

Solve the recurrence:

$$T(1) = 1$$

 $T(n) = T(n-1) + 3, n \ge 2.$

Solve the recurrence:

$$T(1) = 1$$

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(i) Expand: T(1) = 1,

EGV (contd.)

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Example

$$T(1) = 1$$

 $T(n) = T(n-1) + 3, n \ge 2.$

(i) Expand:
$$T(1) = 1$$
, $T(2) = T(1) + 3 = 4$, $T(3) = 3 + T(n-2) = 7$, ...

Example

$$T(1) = 1$$

 $T(n) = T(n-1) + 3, n \ge 2.$

- (i) Expand: T(1) = 1, T(2) = T(1) + 3 = 4, T(3) = 3 + T(n-2) = 7, ...
- (ii) Guess: $T(n) = 3 \cdot n 2$.

Example

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- (ii) Guess: $T(n) = 3 \cdot n 2$.
- (iii) Verify: Somebody from class!

Definition

A general linear recurrence has the form:

$$S(n) = f_1(n) \cdot S(n-1) + f_2(n) \cdot S(n-2) + \dots + f_k(n) \cdot S(n-k) + g(n)$$

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The above formula is called linear, because the S() terms occur only in the first power.

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g(n) = 0, for all n.

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$$S(1) = k_0$$

Definition

A general linear recurrence has the form:

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The above formula is called linear, because the S() terms occur only in the first power. It is called first-order, if S(n) depends only on S(n-1). For example, $S(n) = c \cdot S(n-1) + g(n)$. The recurrence is called homogeneous, if g(n) = 0, for all n.

$$S(1) = k_0$$

$$S(n) = c \cdot S(n-1) + g(n)$$

Definition

A general linear recurrence has the form:

$$S(n) = f_1(n) \cdot S(n-1) + f_2(n) \cdot S(n-2) + \dots + f_k(n) \cdot S(n-k) + g(n)$$

Note

The above formula is called linear, because the S() terms occur only in the first power. It is called first-order, if S(n) depends only on S(n-1). For example, $S(n) = c \cdot S(n-1) + g(n)$. The recurrence is called homogeneous, if g(n) = 0, for all n.

$$S(1) = k_0$$

$$S(n) = c \cdot S(n-1) + g(n)$$

$$\Rightarrow S(n) = c^{n-1} \cdot k_0 + \sum_{i=2}^{n} c^{n-i} \cdot g(i).$$

Example

$$S(1) = 2$$

 $S(n) = 2 \cdot S(n-1), n \ge 2.$

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As per the formula, $k_0 =$

Example

$$S(1) = 2$$

 $S(n) = 2 \cdot S(n-1), n \ge 2.$

As per the formula, $k_0 = 2$, g(n) =

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$$S(n) =$$

Example

$$S(1) = 2$$

 $S(n) = 2 \cdot S(n-1), n \ge 2.$

$$S(n) = 2^{n-1} \cdot 2 + \sum_{i=2}^{n} 2^{n-i} \cdot 0$$

Example

$$S(1) = 2$$

 $S(n) = 2 \cdot S(n-1), n \ge 2.$

$$S(n) = 2^{n-1} \cdot 2 + \sum_{i=2}^{n} 2^{n-i} \cdot 0$$

= 2^{n}

Example

$$S(1) = 4$$

$$S(n) = 2 \cdot S(n-1) + 3, \ n \geq 2.$$

Example

Solve the recurrence:

$$S(1) = 4$$

 $S(n) = 2 \cdot S(n-1) + 3, n \ge 2.$

As per the formula, $k_0 =$

Example

Solve the recurrence:

$$S(1) = 4$$

 $S(n) = 2 \cdot S(n-1) + 3, n \ge 2.$

As per the formula, $k_0 = 4$, g(n) =

Example

Solve the recurrence:

$$S(1) = 4$$

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Solve the recurrence:

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 $S(n) = 2 \cdot S(n-1) + 3, n \ge 2.$

$$S(n) = 2^{n-1} \cdot 4 + \sum_{i=2}^{n} 2^{n-i} \cdot 3$$

Example

Solve the recurrence:

$$S(1) = 4$$

 $S(n) = 2 \cdot S(n-1) + 3, n \ge 2.$

$$S(n) = 2^{n-1} \cdot 4 + \sum_{i=2}^{n} 2^{n-i} \cdot 3$$
$$= 2^{n+1} + 3 \sum_{i=2}^{n} 2^{n-i}$$

Example

Solve the recurrence:

$$S(1) = 4$$

 $S(n) = 2 \cdot S(n-1) + 3, n \ge 2.$

$$S(n) = 2^{n-1} \cdot 4 + \sum_{i=2}^{n} 2^{n-i} \cdot 3$$

$$= 2^{n+1} + 3 \sum_{i=2}^{n} 2^{n-i}$$

$$= 2^{n+1} + 3 \cdot [2^{n-2} + 2^{n-3} + \dots + 2^{0}]$$

Example

Solve the recurrence:

$$S(1) = 4$$

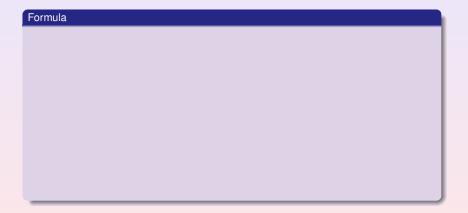
 $S(n) = 2 \cdot S(n-1) + 3, n \ge 2.$

$$S(n) = 2^{n-1} \cdot 4 + \sum_{i=2}^{n} 2^{n-i} \cdot 3$$

$$= 2^{n+1} + 3 \sum_{i=2}^{n} 2^{n-i}$$

$$= 2^{n+1} + 3 \cdot [2^{n-2} + 2^{n-3} + \dots + 2^{0}]$$

$$= 2^{n+1} + 3 \cdot [2^{n-1} - 1]$$



Formula

(i) Form: $S(n) = c_1 \cdot S(n-1) + c_2 \cdot S(n-2)$, subject to some initial conditions.

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- (i) Form: $S(n) = c_1 \cdot S(n-1) + c_2 \cdot S(n-2)$, subject to some initial conditions.
- (ii) Solve the characteristic equation: $t^2-c_1\cdot t-c_2=0$. Let r_1 and r_2 denote the roots.

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- (ii) Solve the characteristic equation: $t^2-c_1\cdot t-c_2=0$. Let r_1 and r_2 denote the roots.
 - (a) If $r_1 \neq r_2$, solve

$$p+q = S(1)$$

$$p \cdot r_1 + q \cdot r_2 = S(2)$$

Second Order homogeneous Linear Recurrence with constant coefficients

Formula

- (i) Form: $S(n) = c_1 \cdot S(n-1) + c_2 \cdot S(n-2)$, subject to some initial conditions.
- (ii) Solve the characteristic equation: $t^2 c_1 \cdot t c_2 = 0$. Let r_1 and r_2 denote the roots.
 - (a) If $r_1 \neq r_2$, solve

$$p+q = S(1)$$

$$p \cdot r_1 + q \cdot r_2 = S(2)$$

Then,
$$S(n) = p \cdot r_1^{n-1} + q \cdot r_2^{n-1}$$

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- (i) Form: $S(n) = c_1 \cdot S(n-1) + c_2 \cdot S(n-2)$, subject to some initial conditions.
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 - (a) If $r_1 \neq r_2$, solve

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Then,
$$S(n) = p \cdot r_1^{n-1} + q \cdot r_2^{n-1}$$

(b) If $r_1 = r_2 = r$, solve

$$p = S(1)$$

$$(p+q)\cdot r = S(2)$$

Second Order homogeneous Linear Recurrence with constant coefficients

Formula

- (i) Form: $S(n) = c_1 \cdot S(n-1) + c_2 \cdot S(n-2)$, subject to some initial conditions.
- Solve the characteristic equation: $t^2 c_1 \cdot t c_2 = 0$. Let r_1 and r_2 denote the roots.
 - (a) If $r_1 \neq r_2$, solve

$$p+q = S(1)$$

$$p \cdot r_1 + q \cdot r_2 = S(2)$$

Then,
$$S(n) = p \cdot r_1^{n-1} + q \cdot r_2^{n-1}$$

(b) If $r_1 = r_2 = r$, solve

$$p = S(1)$$

$$(p+q)\cdot r = S(2)$$

Then,
$$S(n) = p \cdot r^{n-1} + q \cdot (n-1) \cdot r^{n-1}$$

Example

Solve the recurrence relation

$$T(1) = 5$$

$$T(2) = 13$$

$$T(n) = 6 \cdot T(n-1) - 5 \cdot T(n-2), n \ge 3$$

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$$T(2) = 13$$

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Solve the recurrence relation

$$T(1) = 5$$

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$$T(n) = 6 \cdot T(n-1) - 5 \cdot T(n-2), \ n \ge 3$$

(i)
$$c_1 = 6$$
, $c_2 = -5$.

Example

Solve the recurrence relation

$$T(1) = 5$$

$$T(2) = 13$$

$$T(n) = 6 \cdot T(n-1) - 5 \cdot T(n-2), \ n \ge 3$$

(i)
$$c_1 = 6$$
, $c_2 = -5$. Characteristic equation:

Example

Solve the recurrence relation

$$T(1) = 5$$

$$T(2) = 13$$

$$T(n) = 6 \cdot T(n-1) - 5 \cdot T(n-2), n \ge 3$$

(i)
$$c_1 = 6$$
, $c_2 = -5$. Characteristic equation: $t^2 - 6 \cdot t + 5 = 0$.

Example

Solve the recurrence relation

$$T(1) = 5$$

$$T(2) = 13$$

$$T(n) = 6 \cdot T(n-1) - 5 \cdot T(n-2), n \ge 3$$

(i)
$$c_1=6$$
, $c_2=-5$. Characteristic equation: $t^2-6\cdot t+5=0$. Solution is: $r_1=1$, $r_2=5$.

Example

Solve the recurrence relation

$$T(1) = 5$$

 $T(2) = 13$
 $T(n) = 6 \cdot T(n-1) - 5 \cdot T(n-2), n \ge 3$

- (i) $c_1 = 6$, $c_2 = -5$. Characteristic equation: $t^2 6 \cdot t + 5 = 0$. Solution is: $r_1 = 1$, $r_2 = 5$.
- (ii) Solve the equations:

$$p + q = T(1) = 5$$

 $p \cdot 1 + q \cdot 5 = T(2) = 13$

Example

Solve the recurrence relation

$$T(1) = 5$$

 $T(2) = 13$
 $T(n) = 6 \cdot T(n-1) - 5 \cdot T(n-2), n \ge 3$

Solution:

- (i) $c_1 = 6$, $c_2 = -5$. Characteristic equation: $t^2 6 \cdot t + 5 = 0$. Solution is: $r_1 = 1$, $r_2 = 5$.
- (ii) Solve the equations:

$$p + q = T(1) = 5$$

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We get p = 3 and q = 2.

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Solve the recurrence relation

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- (iii) Accordingly, the solution is $T(n) = 3 \cdot 1^{n-1} + 2 \cdot 5^{n-1} = 3 + 2 \cdot 5^{n-1}$.
- Г

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$$S(n) = 8 \cdot S(n-1) - 16 \cdot S(n-2), n \ge 3$$

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Formula for Divide and Conquer Recurrence

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$$T(n) = a \cdot T(\frac{n}{h}) + f(n)$$

(i) If $f(n) = O(n^{\log_b a - \epsilon})$, for some constant $\epsilon > 0$, then $T(n) = \Theta(n^{\log_b a})$.

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Proof is via induction. Outside scope of class.

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.