# First Order Logic - Substitution and Normal Forms

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# Substitution

- Safe Substitution
- Schema Substitution





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## Main issue

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#### Example

Rename the bound variable x to x' in:  $F : P(x) \land (\forall x) Q(x, y)$ .

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# **Fundamentals**

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### Example

Perform the substitution  $\sigma$  : { $x \mapsto G(x), y \mapsto F(x), Q((F(y), x) \mapsto (\exists x) H(x, y)$ }, over the formula F :  $(\forall x) P(x, y) \rightarrow Q(F(y), x)$ .





Schema Substitution



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# Technique

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Consider a substitution  $\sigma$  of the form: { $F_1 \mapsto G_1, F_2 \mapsto G_2, \ldots F_n \mapsto G_n$ }. Let  $V_{\sigma} = \cup_i ((\text{free}(F_i) \cup \text{free}(G_i))).$ 

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(i) For each quantified variable *x* in *F*, such that *x* ∈ *V<sub>σ</sub>*, rename *x* to a fresh variable *x'* to produce *F'*.

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- (i) For each quantified variable *x* in *F*, such that *x* ∈ *V<sub>σ</sub>*, rename *x* to a fresh variable *x'* to produce *F'*.
- (ii) Compute  $F'\sigma$ .

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- (i) For each quantified variable x in F, such that x ∈ V<sub>σ</sub>, rename x to a fresh variable x' to produce F'.
- (ii) Compute  $F'\sigma$ .

### Example

Given  $F : (\forall x) P(x, y) \rightarrow Q(F(y), x)$  and  $\sigma : \{x \mapsto G(x), y \mapsto F(x), Q(F(y), x) \mapsto (\exists x) H(x, y)\}$ , perform the safe substitution  $F\sigma$ .

Safe Substitution Schema Substitution

# Property of Safe Substitutions

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# Proposition

Consider a substitution  $\sigma$  : { $F_1 \mapsto G_1, F_2 \mapsto G_2, \ldots F_n \mapsto G_n$ }, such that for each *i*,  $F_i \Leftrightarrow G_i$ .

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A formula schema *H* is said to be **valid**, if  $H\sigma$  is valid, for every schema substitution  $\sigma$  that respects the side conditions of *H*.

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A formula schema *H* is said to be **valid**, if  $H\sigma$  is valid, for every schema substitution  $\sigma$  that respects the side conditions of *H*. The key point is that the validity of the schema itself can be proved by the semantic argument method.

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## Example of proving schema validity

Substitution

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Prove the validity of

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Prove the validity of

 $H : (\forall x) (F_1 \land F_2) \to (\forall x) F_1 \land F_2, \text{ provided } x \notin \text{free}(F_2)$ 

# Application of schema substitution

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## Application of schema substitution

### Proposition

If H is a valid formula schema and  $\sigma$  is a substitution that respects H's side conditions, then H $\sigma$  is also valid.

Substitution

## Normal Forms

### Types

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(i) NNF.			
(ii) PNF.			
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Substitution

Types	
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(iv) DNF.	





### Technique



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### Example

Let 
$$G$$
 :  $(\forall x)((\exists y) \ P(x, y) \land P(x, z)) \rightarrow (\exists w) \ P(x, w).$ 

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### Example

Let G :  $(\forall x)((\exists y) \ P(x, y) \land P(x, z)) \rightarrow (\exists w) \ P(x, w)$ . Put G in NNF.



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Conversion technique

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- (i) Convert F into NNF formula  $F_1$ .
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### Conversion technique

- (i) Convert F into NNF formula  $F_1$ .
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### Example

Convert the following formula to PNF:

 $F : (\forall x) [\neg((\exists y) (P(x,y) \land P(x,z))) \lor (\exists y) P(x,y)].$ 

Substitution

## CNF and DNF
Substitution Normal Forms

## CNF and DNF

## Definition

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## CNF and DNF

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A formula is said to be in CNF (respectively DNF), if it is in PNF, and its quantifier-free subformula is in CNF (respectively DNF).