

# Algorithmic Insights II - Greedy and Dynamic Programming

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February 16 and February 23, 2015

# Outline

- 1 Review of concepts

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- 2 The Greedy Approach

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- 3 Dynamic Programming

# Review

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- 1 Recursion.

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- 1 Recursion.
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- 1 Recursion.
- 2 Divide and Conquer.
- 3 Greedy.
- 4 Dynamic Programming.
- 5 Iterative approaches (Rewriting).

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- 1 Recursion.
- 2 Divide and Conquer.
- 3 Greedy.
- 4 Dynamic Programming.
- 5 Iterative approaches (Rewriting).
- 6 Transformations and reductions.

# The Greedy Approach

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- 5 Terminate when all items have been considered or a maximum feasible subset has been reached.

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- 5 Different orders of file storage give rise to different expected costs.
- 6 In what order should the files be stored, so that the expected cost is minimized?

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- 5 Thus, a non-ordered organization cannot be optimal.

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**Algorithm 3.13:** Kruskal's algorithm

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## Theorem

*Let  $C$  denote a cut-set corresponding to some cut in an undirected graph  $G$ . There is an MST of  $G$ , which includes the lightest edge in  $C$ .*

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- 6 Use an exchange argument.

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**Algorithm 3.28:** Job scheduling

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Schedule all the processes, while minimizing the number of machines used.

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Clearly,  $P_i$  conflicts with all the processes on the first  $(k - 1)$  machines.

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But these processes also conflict with each other!

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Find a basis of minimum weight.

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*Let  $S$  be a set where the family of independent sets forms a matroid.*

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Then  $F \cup \{v\}$  is also contained in a minimum-weight basis.*

# Dynamic Programming

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- 1 Characterize the structure of an optimal solution.
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- 4 Construct an optimal solution from computed information.

# The Rod Cutting problem



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Given a rod of  $n$  inches, and a table of prices  $p_i$ ,  $i = 1, 2, \dots, n$ , determine the maximum revenue  $r_n$  obtainable by cutting up the rod and selling it into pieces.

# The Rod Cutting problem

## The Problem

Given a rod of  $n$  inches, and a table of prices  $p_i, i = 1, 2, \dots, n$ , determine the maximum revenue  $r_n$  obtainable by cutting up the rod and selling it into pieces. How many possibilities?

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## Example

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Compute  $r_i$ ,  $i = 1, 2, \dots, 6$ .

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## Recurrence



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$$r_n = \max(p_n, r_1 + r_{n-1}, r_2 + r_{n-2}, \dots, r_{n-1} + r_1). \quad (1)$$

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Recurrence (1) can be expressed more succinctly as:

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Why are Recurrence (1) and Recurrence (2) equivalent?

## A recursive implementation

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### Recursive Algorithm

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**Function** CUT-ROD( $p, n$ )

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1: if ( $n = 0$ ) then  
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## A recursive implementation

### Recursive Algorithm

**Function** CUT-ROD( $p, n$ )

```
1: if ( $n = 0$ ) then  
2:   return(0).  
3: end if  
4:  $q = -\infty$ .
```

## A recursive implementation

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**Function** CUT-ROD( $p, n$ )

1: **if** ( $n = 0$ ) **then**

2:     **return**(0).

3: **end if**

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## A recursive implementation

### Recursive Algorithm

**Function** CUT-ROD( $p, n$ )

```
1: if ( $n = 0$ ) then  
2:   return(0).  
3: end if  
4:  $q = -\infty$ .  
5: for ( $i = 1$  to  $n$ ) do  
6:    $q = \max(q,$ 
```

## A recursive implementation

### Recursive Algorithm

**Function** CUT-ROD( $p, n$ )

```
1: if ( $n = 0$ ) then  
2:   return(0).  
3: end if  
4:  $q = -\infty$ .  
5: for ( $i = 1$  to  $n$ ) do  
6:    $q = \max(q, p[i] + \text{CUT-ROD}(p, n - i)).$ 
```

## A recursive implementation

### Recursive Algorithm

**Function** CUT-ROD( $p, n$ )

```
1: if ( $n = 0$ ) then  
2:   return(0).  
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5: for ( $i = 1$  to  $n$ ) do  
6:    $q = \max(q, p[i] + \text{CUT-ROD}(p, n - i))$ .  
7: end for
```

**Algorithm 4.12:** The recursive rod-cutting algorithm

## A recursive implementation

### Recursive Algorithm

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```
1: if ( $n = 0$ ) then  
2:   return(0).  
3: end if  
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5: for ( $i = 1$  to  $n$ ) do  
6:    $q = \max(q, p[i] + \text{CUT-ROD}(p, n - i))$ .  
7: end for
```

**Algorithm 4.13:** The recursive rod-cutting algorithm

### Analysis

## A recursive implementation

### Recursive Algorithm

**Function** CUT-ROD( $p, n$ )

```
1: if ( $n = 0$ ) then  
2:   return(0).  
3: end if  
4:  $q = -\infty$ .  
5: for ( $i = 1$  to  $n$ ) do  
6:    $q = \max(q, p[i] + \text{CUT-ROD}(p, n - i))$ .  
7: end for
```

**Algorithm 4.14:** The recursive rod-cutting algorithm

### Analysis

$$T(n) =$$

# A recursive implementation

## Recursive Algorithm

**Function** CUT-ROD( $p, n$ )

```

1: if ( $n = 0$ ) then
2:   return(0).
3: end if
4:  $q = -\infty$ .
5: for ( $i = 1$  to  $n$ ) do
6:    $q = \max(q, p[i] + \text{CUT-ROD}(p, n - i))$ .
7: end for

```

**Algorithm 4.15:** The recursive rod-cutting algorithm

## Analysis

$$T(n) = \begin{cases} 1, & \text{if } n = 0 \end{cases}$$



# A recursive implementation

## Recursive Algorithm

**Function** CUT-ROD( $p, n$ )

```

1: if ( $n = 0$ ) then
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4:  $q = -\infty$ .
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6:    $q = \max(q, p[i] + \text{CUT-ROD}(p, n - i))$ .
7: end for

```

**Algorithm 4.16:** The recursive rod-cutting algorithm

## Analysis

$$T(n) = \begin{cases} 1, & \text{if } n = 0 \\ 1 + \sum_{j=1}^n T(n-j), & \text{otherwise} \end{cases}$$

# Analysis of the recursive algorithm

# Analysis of the recursive algorithm

Analysis (contd.)

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$$T(n) = \begin{cases} 1, & \text{if } n = 0 \\ 1 + \sum_{k=0}^{n-1} T(k), & \text{otherwise} \end{cases}$$

It is not hard to see that  $T(n) =$

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$$T(n) = \begin{cases} 1, & \text{if } n = 0 \\ 1 + \sum_{k=0}^{n-1} T(k), & \text{otherwise} \end{cases}$$

It is not hard to see that  $T(n) = 2^n$ .



# The Bottom-up approach

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- 1: Let  $r[0 \cdot \cdot n]$  be a new array.
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- 1: Let  $r[0 \dots n]$  be a new array.
- 2:  $r[0] = 0$ .
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- 4:      $q = -\infty$ .
- 5:     **for** ( $i = 1$  **to**  $j$ ) **do**
- 6:          $q = \max(q, p[i] + r[j - i])$ .

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6:      $q = \max(q, p[i] + r[j - i])$ .  
7:   end for  
8:    $r[j] = q$ .  
9: end for  
10: return( $r[n]$ ).
```

**Algorithm 4.29:** Bottom-up rod-cutting

# Analyzing the bottom-up approach

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$$T(n) = \begin{cases} 0, & \text{if } n = 0 \\ \sum_{j=1}^n \sum_{i=1}^j 1, & \text{otherwise} \end{cases}$$

It is not hard to see that  $T(n) = \Theta(n^2)$ .

# Reconstructing the Solution

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The bottom-up algorithm with solution

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**Function** BOTTOM-ROD-CUT( $p, n$ )



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- 5:     **for** ( $i = 1$  **to**  $j$ ) **do**
- 6:         **if** ( $q < p[i] + r[j - i]$ ) **then**

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- 6:         **if** ( $q < p[i] + r[j - i]$ ) **then**
- 7:              $q = p[i] + r[j - i]$ .

## Reconstructing the Solution

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**Function** BOTTOM-ROD-CUT( $p, n$ )

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1: Let  $r[0 \cdot \cdot n]$  and  $s[0 \cdot \cdot n]$  be new arrays.  
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6:     if ( $q < p[i] + r[j - i]$ ) then  
7:        $q = p[i] + r[j - i]$ .  
8:        $s[j] = i$ . {The unsplittable left side is recorded.}
```



## Reconstructing the Solution

### The bottom-up algorithm with solution

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9:   end if
```

# Reconstructing the Solution

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**Function** BOTTOM-ROD-CUT( $p, n$ )

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7:        $q = p[i] + r[j - i]$ .
8:        $s[j] = i$ . {The unsplittable left side is recorded.}
9:     end if
10:  end for
  
```

# Reconstructing the Solution

## The bottom-up algorithm with solution

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```

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3: for ( $j = 1$  to  $n$ ) do
4:    $q = -\infty$ .
5:   for ( $i = 1$  to  $j$ ) do
6:     if ( $q < p[i] + r[j - i]$ ) then
7:        $q = p[i] + r[j - i]$ .
8:        $s[j] = i$ . {The unsplittable left side is recorded.}
9:     end if
10:  end for
11:   $r[j] = q$ .
```

# Reconstructing the Solution

## The bottom-up algorithm with solution

**Function** BOTTOM-ROD-CUT( $p, n$ )

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5:   for ( $i = 1$  to  $j$ ) do  
6:     if ( $q < p[i] + r[j - i]$ ) then  
7:        $q = p[i] + r[j - i]$ .  
8:        $s[j] = i$ . {The unsplittable left side is recorded.}  
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# Reconstructing the Solution

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6:     if ( $q < p[i] + r[j - i]$ ) then
7:        $q = p[i] + r[j - i]$ .
8:        $s[j] = i$ . {The unsplittable left side is recorded.}
9:     end if
10:  end for
11:   $r[j] = q$ .
12: end for
13: return( $r[n]$ ).
```

**Algorithm 4.45:** Bottom-up rod-cutting

## Outputting the solution

## Outputting the solution

### Printing the Solution

## Outputting the solution

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**Function** PRINT-SOLUTION( $p, n$ )



## Outputting the solution

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**Function** PRINT-SOLUTION( $p, n$ )

1: **while** ( $n > 0$ ) **do**

## Outputting the solution

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**Function** PRINT-SOLUTION( $p, n$ )

```
1: while ( $n > 0$ ) do  
2:   print  $s[n]$ .
```

## Outputting the solution

### Printing the Solution

**Function** PRINT-SOLUTION( $p, n$ )

```
1: while ( $n > 0$ ) do  
2:   print  $s[n]$ .  
3:    $n = n - s[n]$ .
```

## Outputting the solution

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**Function** PRINT-SOLUTION( $p, n$ )

```
1: while ( $n > 0$ ) do  
2:   print  $s[n]$ .  
3:    $n = n - s[n]$ .  
4: end while
```

**Algorithm 4.52:** Extracting the solution

# The Matrix Chain Multiplication problem

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Solving the recurrence gives the  $n^{\text{th}}$  **Catalan number** whose growth is  $\Omega\left(\frac{4^n}{n^{\frac{3}{2}}}\right)$ .

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## Example

Find the optimal parenthesization for the chain  $\langle A_{7 \times 10} \cdot B_{10 \times 3} \cdot C_{3 \times 8} \cdot D_{8 \times 4} \rangle$ .

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Assuming  $m < n$ ,  $X$  has  $2^m$  possible subsequences.

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*Let  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$  be two sequences and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  denote their LCS.*



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Let  $c[i, j]$  denote the length of the LCS between  $X_i$  and  $Y_j$ .

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Let  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$  be two sequences and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  denote their LCS.

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## Example

Find the LCS of  $X = \langle A, B, C, B, D, A, B \rangle$  and  $Y = \langle B, D, C, A, B, A \rangle$ .



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Find the minimum cost of packing the words into lines.

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$$s[i, j] = \begin{cases} t_{ij}^3, & \text{if } t_{ij} \geq 0 \\ 0, & t_{ij} \geq 0 \text{ and } j = n \\ \infty, & t_{ij} < 0 \end{cases} \quad (3)$$

# Optimal substructure



# Optimal substructure

## Recursive solution

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Let  $m[i, j]$  be the optimal cost of packing words  $w_i$  through  $w_j$  with word  $w_i$  starting on a fresh line.

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The following recurrence is immediate:

$$m[i, j] = \begin{cases} s[i, j], & \text{if } t_{ij} \geq 0 \\ \min_{i \leq k \leq j} (s[i, k] + m[k + 1, j]), & \text{otherwise} \end{cases} \quad (4)$$

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Analyze the resources of the above algorithm.

# The Reachability problem

# The Reachability problem

## The Problem

# The Reachability problem

## The Problem

Given a directed, unweighted graph  $G = \langle V, E \rangle$  and a pair of vertices  $s, t \in V$ , is there a dipath from  $s$  to  $t$ ?

# Graph Exploration

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## The Exploration Algorithm

**Function** EXPLORE( $G, s, t$ )

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**Function** EXPLORE( $G, s, t$ )

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- 5:   **for** (all unmarked neighbors  $v$  of  $u$ ) **do**

# Graph Exploration

## The Exploration Algorithm

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1:  $Q = \{s\}$ .  
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5:   for (all unmarked neighbors  $v$  of  $u$ ) do  
6:     Insert  $v$  into  $Q$ .  
7:   end for  
8: end while
```

**Algorithm 4.63:** The generic algorithm



## Two common search techniques

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Breadth-first Search

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### Breadth-first Search

Implement  $Q$  as a queue.

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Both algorithms run in  $O(m + n)$  time.



# Middle First Search

# Middle First Search

## Definition

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### Definition

The adjacency matrix of a graph with  $n$  vertices, is a  $n \times n$  matrix  $\mathbf{A}$  where  $A_{ij} = 1$ , if there is an edge from vertex  $i$  to  $j$  and 0 otherwise.

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### Observation

Let  $A^t$  denote the matrix product  $A \cdot A \cdots A$  ( $t$  times).

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### Observation

Let  $A^t$  denote the matrix product  $A \cdot A \cdots A$  ( $t$  times). Then,  $(A^t)_{ij}$  is the number of paths of length  $t$  from  $i$  to  $j$ .

# An Example



# An Example

## Example

# An Example

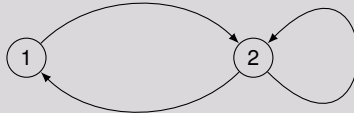
## Example

Compute the matrix powers of the adjacency matrix of the following graph:

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# Path Theorem

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Theorem

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*Given a graph  $G$  with  $n$  vertices and adjacency matrix  $\mathbf{A}$ , there is a path from  $s$  to  $t$  if and only if  $(\mathbf{I} + \mathbf{A})_{st}^{n-1}$  is non-zero.*

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- 1:  $\mathbf{B} = \mathbf{I}$ .
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```
1:  $\mathbf{B} = \mathbf{I}$ .  
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**Algorithm 4.72:** First approach for reachability

## A smarter approach for reachability

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**Algorithm 4.79:** Repeated squaring

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*Observation*

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- 3 Strategy is called middle-first search, because we find to try to find a vertex  $k$  between vertices  $i$  and  $j$ .
- 4 Strategy is inefficient in terms of time, but efficient in terms of memory.

# The All-Pairs Shortest Path problem

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## The Problem

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Given a weighted graph  $G$  with weights  $w_{ij}$  on edge  $e_{ij}$  ( $\mathbf{W}$ ), find the length of the shortest path from vertex  $i$  to vertex  $j$ , for all pairs  $i$  and  $j$ .

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## Optimality Substructure

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Let  $p$  denote a shortest path between  $s$  and  $t$ .

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## Optimality Substructure

Let  $p$  denote a shortest path between  $s$  and  $t$ .

Let  $r$  be an intermediate vertex on  $p$ .

What can you say about the sub-paths of  $p$  from  $s$  to  $r$  and from  $r$  to  $t$ ?

## A DP based algorithm

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### Shortest path algorithm

**Function** SHORTEST-PATHS( $G, W$ )

## A DP based algorithm

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1:  $\mathbf{B} = \mathbf{W}$ .



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```
1:  $\mathbf{B} = \mathbf{W}$ .  
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4:     {The multiplication in the above step is actually implemented as:

$$B_{ij} \rightarrow \min_k (B_{ik} + B_{kj}).$$

}

5: **end for**

**Algorithm 4.87:** Repeated squaring for shortest paths

# Iterative All-Pairs shortest path algorithm

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## Iterative Implementation

**Function** `SHORTEST-PATHS( $G, W$ )`

# Iterative All-Pairs shortest path algorithm

## Iterative Implementation

**Function** SHORTEST-PATHS( $G, W$ )

1: Initialize  $B_{ij}(0) = 0$ , for all  $i, j = 1, 2, \dots, n$ .



# Iterative All-Pairs shortest path algorithm

## Iterative Implementation

**Function** SHORTEST-PATHS( $G, W$ )

1: Initialize  $B_{ij}(0) = 0$ , for all  $i, j = 1, 2, \dots, n$ .

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- 2: **for** ( $m = 1$  **to**  $\log n$ ) **do**
- 3:   **for** ( $i = 1$  **to**  $n$ ) **do**
- 4:     **for** ( $j = 1$  **to**  $n$ ) **do**
- 5:        $B_{ij}(m) = B_{ij}(m - 1)$ .

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1: Initialize  $B_{ij}(0) = 0$ , for all  $i, j = 1, 2, \dots, n$ .  
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5:        $B_{ij}(m) = B_{ij}(m - 1)$ .  
6:       for ( $k = 1$  to  $n$ ) do  
7:          $B_{ij}(m) =$ 
```

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4:     for ( $j = 1$  to  $n$ ) do
5:        $B_{ij}(m) = B_{ij}(m - 1)$ .
6:       for ( $k = 1$  to  $n$ ) do
7:          $B_{ij}(m) = \min(B_{ij}(m), B_{ik}(m - 1) + B_{kj}(m - 1))$ .
```

# Iterative All-Pairs shortest path algorithm

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# Iterative All-Pairs shortest path algorithm

## Iterative Implementation

**Function** SHORTEST-PATHS( $G, W$ )

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**Algorithm 4.102:** Implementing the shortest paths algorithm

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It follows that the algorithm takes  $\Theta(n^3 \cdot \log n)$  time.



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